

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Morgan Stanley & Co LLC			
Name of Financial Institution	Los Angeles	CA	90067
1999 Avenue of the Stars	City	State	Zip Code
Street Address			
Gregory Dawley, Executive Director			
Contact Person Name and Title	gregory.dawley@morganstanley.com		
(310) 788 - 2095	Email Address		
Telephone No.			

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes X No ___ If yes, please complete the attached form. (please see attached form)
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes X No ___
 - a. How many scholarships were awarded? N/A*
 - b. What was the total value of the awarded scholarships? N/A**MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of LA, we do not keep historical data on each individual scholarship or the amount awarded.
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes ___ No X If yes, please provide a copy of your policies. (no formal written policy, but MS&CO LLC encourages opportunities to diverse business owners; please see attached)

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Gregory Dawley, Executive Director		8/12/2014
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

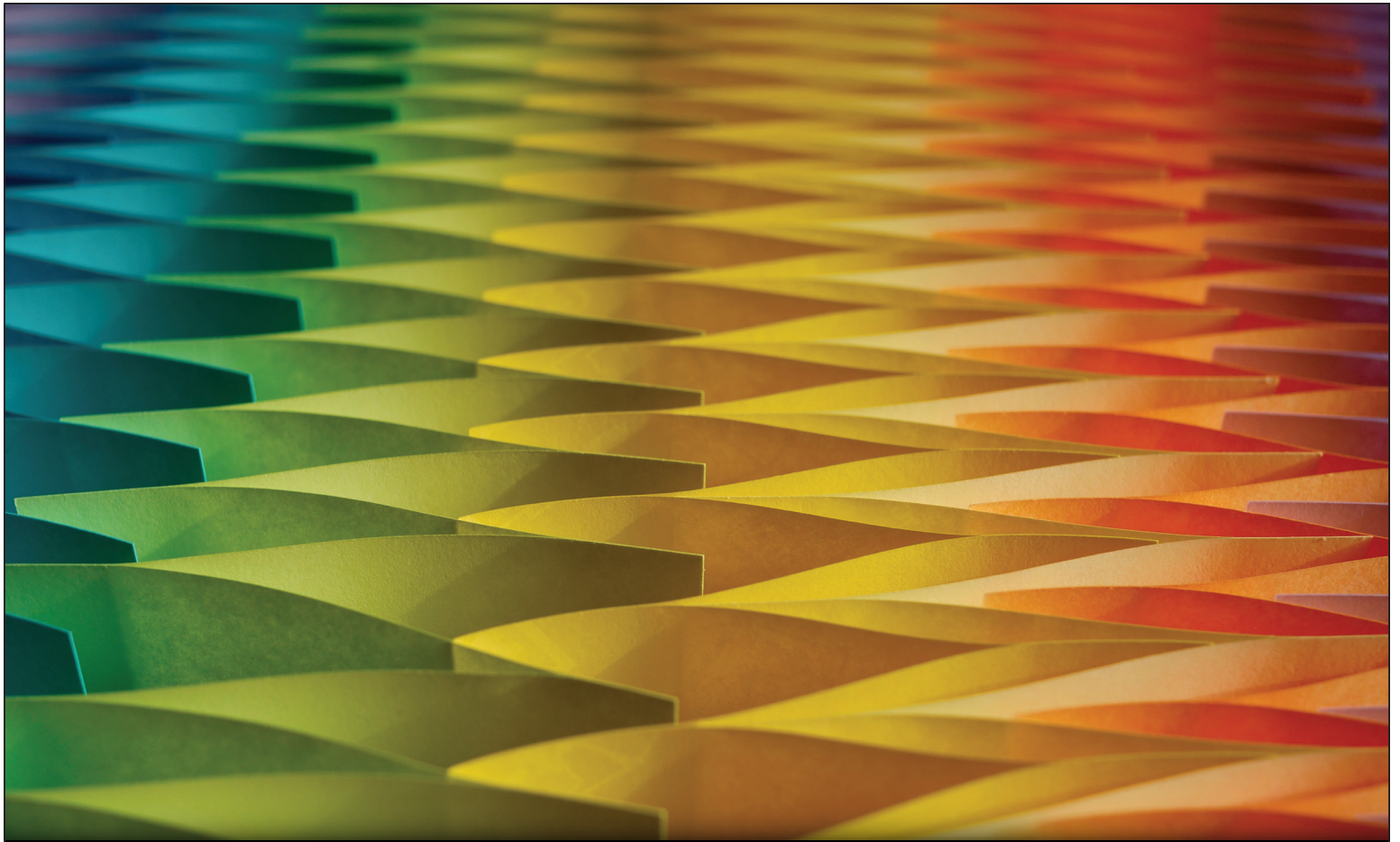
**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment

Name of Charitable Organization	Type	Amount (\$)
COMMUNITY PARTNERS	DONATIONS	500.00
FREE ARTS FOR ABUSED CHILDREN	DONATIONS	8,500.00
FREE ARTS FOR ABUSED CHILDREN	SPONSORSHIP	1,500.00
GIRL SCOUTS OF GREATER LOS ANGELES	SPONSORSHIP	1,500.00
CENTER FOR THE PACIFIC ASIAN ENTERTAINMENT INDUSTRY	DONATIONS	1,750.00
LOS ANGELES URBAN LEAGUE	DONATIONS	5,000.00
THE EVA LONGORIA FOUNDATION	DONATIONS	1,200.00
WATTS/WILLOWBROOK BOYS & GIRLS	DONATIONS	10,000.00
WATTS/WILLOWBROOK BOYS & GIRLS	DONATIONS	25,000.00
LOS ANGELES COUNTY MUSEUM OF ARTS	DONATIONS	2,500.00
LOS ANGELES PHILHARMONICS	DONATIONS	10,000.00
HISPANIC SCHOLARSHIP FUND	DONATIONS	15,000.00
UCLA FOUNDATION	DONATIONS	10,000.00
ALPFA	DONATIONS	25,000.00
ALPFA	SPONSORSHIP	9,990.00
ALPFA	SPONSORSHIP	15,000.00
ALPFA	SPONSORSHIP	14,010.00
Asian Pacific Community Fund of Southern California	DONATIONS	2,000.00
C5 Youth Foundation of Southern California, Inc.	DONATIONS	2,000.00
CASA OF LOS ANGELES	DONATIONS	1,500.00
CENTER FOR THE PACIFIC-ASIAN FAMILY INC	DONATIONS	1,500.00
GIRL SCOUTS OF GREATER LOS ANGELES	DONATIONS	2,000.00
Los Angeles Chamber Orchestra Society, Inc.	DONATIONS	1,500.00
LTSC COMMUNITY DEVELOPMENT CORPORATION	DONATIONS	1,500.00
Southern California Asian American Studies Central	DONATIONS	1,500.00
The American Heart Association	DONATIONS	1,500.00
The Dream Center	DONATIONS	2,000.00
UNIVERSITY OF SOUTHERN CALIFORNIA	DONATIONS	1,000.00
Westside Family YMCA	DONATIONS	2,000.00
A PLACE CALLED HOME	DONATIONS	2,000.00
California Science Center Foundation	DONATIONS	10,000.00
City Year	DONATIONS	10,000.00
REACHING OUT MBA INC	DONATIONS	5,000.00
REACHING OUT MBA INC	SPONSORSHIP	900.00
UCLA FOUNDATION	SPONSORSHIP	7,700.00
ALPFA	SPONSORSHIP	1,300.00
CALIFORNIA SCIENCE CENTER	SPONSORSHIP	6,000.00
A PLACE CALLED HOME	DONATIONS	5,000.00
ASIAN PACIFIC COMMUNITY FUND	DONATIONS	5,000.00
CENTER FOR THE PACIFIC ASIAN	DONATIONS	2,500.00
COLETTE CHUDA ENVIRONMENTAL	DONATIONS	2,500.00
UNIVERSITY OF SOUTH CALIFORNIA	SPONSORSHIP	10,000.00
COACHART	SPONSORSHIP	3,000.00
CHILDREN'S BUREAU	DONATIONS	2,500.00
BNAI BRITH INTERNATIONAL	DONATIONS	2,500.00
MARINE CORPS SCHOLARSHIP	DONATIONS	3,750.00
REACHING OUT MBA INC	DONATIONS	10,000.00
THE UCLA FOUNDATION	SPONSORSHIP	2,500.00
WOMEN'S LEADERSHIP LEGACY	SPONSORSHIP	700.00
	DONATIONS	5,000.00
	SPONSORSHIP	2,000.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment

Name of Charitable Organization	Type	Amount (\$)
POINT FOUNDATION	SPONSORSHIP	1,000.00
ASIAN PACIFIC COMMUNITY FUND	DONATIONS	2,500.00
MAKE-A-WISH FOUNDATION OF	DONATIONS	5,000.00
UNIVERSITY OF SOUTHERN	DONATIONS	10,000.00
UNIVERSITY OF SOUTHERN	DONATIONS	5,250.00
AMERICAN JEWISH COMMITTEE	DONATIONS	5,000.00
C5 YOUTH FOUNDATION OF	SPONSORSHIP	2,500.00
AMERICAN FILM INSTITUTE	SPONSORSHIP	90,000.00
REACHING OUT MBA INC	SPONSORSHIP	700.00
USC SHOAH FOUNDATION INSTITUTE	SPONSORSHIP	15,000.00
AMERICAN DIABETES ASSOCIATION	DONATIONS	1,500.00
ALPFA	SPONSORSHIP	500.00
CHILDREN'S BUREAU	DONATIONS	3,750.00
COACHART	DONATIONS	3,500.00
MARINE CORPS SCHOLARSHIP	DONATIONS	2,500.00
THE UCLA FOUNDATION	DONATIONS	5,000.00
ZIMMER CHILDRENS MUSEUM	DONATIONS	5,000.00
AMERICAN JEWISH COMMITTEE	SPONSORSHIP	2,500.00
WOMEN AGAINST GUN VIOLENCE	SPONSORSHIP	2,500.00
2013YTD Total		\$ 434,750.00
Number of Monetary Donations		68



SUPPLIER DIVERSITY: GLOBAL REACH, LOCAL OPPORTUNITY

MORGAN STANLEY ACTIVELY SEEKS OUT THE HIGHEST QUALITY PRODUCTS AND SERVICES TO SUPPLY HUNDREDS OF OFFICES ALL OVER THE WORLD.

In our Supplier Diversity Program, we seek to partner with minority and multicultural businesses that meet our competitive standards, including firms run by women, veterans, physically challenged individuals and members of the LGBT community. As a global firm, Morgan Stanley recognizes that diverse perspectives help us better serve our employees, clients and communities.

To learn more about our Supplier Diversity Program, please visit
www.morganstanley.com/diversity/supplierdiversity

Morgan Stanley