

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Samuel A. Ramirez & Co., Inc.
Name of Financial Institution
445 S. Figueroa St., Ste. 2310 Los Angeles CA 90071
Street Address City State Zip Code
Brian Corley, Senior Vice President
Contact Person Name and Title
213-627-6121 brian.corley@ramirezco.com
Telephone No. Email Address

Please answer the following questions for the preceding calendar year.

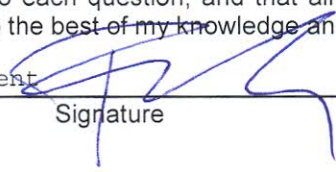
1. Did your firm make monetary donations to charitable programs within the City limits?
Yes ___ No X If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes ___ No X
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes ___ No X If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Brian Corley, Senior Vice President  8/12/14
Print Name, Title Signature Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**



August 12, 2014

Ms. Natalie Brill
Chief of Debt Management
Office of the City Administrative Officer
City of Los Angeles
200 North Main Street
City Hall East, 15th Floor
Los Angeles, CA 90012

Dear Ms. Brill:

Samuel A. Ramirez & Co., Inc. has always prided itself as being an equal opportunity employer and, in my capacity as President and CEO, I am committed to and engaged in the Firm's process of diversity business development. Although the Firm does not have a formal diversity policy utilizing subcontractors designated as "women owned," "minority owned," or "disabled" business enterprises we are sensitive to the need to provide opportunities to these enterprises based on our own beginnings as a minority business enterprise. The Firm and its leaders are deeply committed to providing social and economic opportunities to people in the communities in which we live and work. Please contact me directly at (212) 248-0510 if I can be of any further assistance.

Sincerely,


Samuel A. Ramirez
Chairman and CEO