

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

BMO Capital Markets GKST Inc.

Name of Financial Institution			
One Market Plaza, Spear Tower, Suite 1515	San Francisco	CA	94105
Street Address	City	State	Zip Code
Garth Salisbury, Managing Director			
Contact Person Name and Title			
(415) 354-7531	garth.salisbury@bmo.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Garth Salisbury, Managing Director		06/22/15
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

June 23, 2015

Judith Daco
Debt Manager
Finance & Risk Control Division
Los Angeles Department of Water & Power
111 N. Hope Street, Room 465
Los Angeles, CA 90012

Dear Judy,

In response to the request for information pursuant to Ordinance 182138, an ordinance adding Section 20.95.1 to Chapter 5.1 of the Los Angeles Administrative Code, we have highlighted below key elements of BMO Financial Group's enterprise supplier diversity initiative. BMO Financial Group's supplier diversity initiative is recognized within Canada and in the U.S. in areas where the Bank operates. The initiative impacts the Bank's operating companies, which include BMO Capital Markets and BMO Harris Bank in the U.S.

Enterprise Supplier Diversity Program

BMO Financial Group has a formal enterprise Supplier Diversity program and is committed to the utilization of diverse suppliers throughout the U.S. and Canada. Supplier diversity is a key component of BMO's overall diversity strategy, and using diverse suppliers represents an integral part of our commitment to both meeting the needs of our customers and the communities we serve; this commitment is described and reflected on our corporate [supplier diversity website](https://www.bmoharris.com/us/about/corporate-information/supplier-information/supplier-diversity) (<https://www.bmoharris.com/us/about/corporate-information/supplier-information/supplier-diversity>). By building long-term supplier relationships with diverse companies, we promote economic viability in our local communities, creating wealth, increased spending and more vibrant markets in which BMO can do business.

BMO's Enterprise Supplier Diversity Office is housed within the bank's Sourcing, Payables and Supplier Governance function and has a mandate to increase the level of participation of diverse suppliers throughout the Bank's supply chain. The program is focused on outreach, inclusion and results.

Outreach is a key priority of the program and involves partnering with internal stakeholders and advocacy organizations to proactively seek out diverse suppliers that can meet the Bank's needs at the local, national, and North American levels. The program also ensures that the Bank has formalized processes in place to ensure inclusion of diverse suppliers by requiring that a search for diverse suppliers be completed where feasible in new sourcing engagements and establishing supplier diversity language and spend targets with existing major suppliers. In addition, the program has set forth specific goals and objectives on which it can measure success (such as increasing the percentage of diverse spend), and provides progress through regular reporting to executive leadership.

If you have any questions or need more information on our Supplier Diversity activities, please contact Jessica Turner, Manager, U.S. Supplier Diversity at Jessica.Turner@bmo.com or (312) 461-2447.

Sincerely,


Garth Salisbury
Managing Director