

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

Cabrera Capital Markets, LLC			
Name of Financial Institution			
633 West Fifth Street, Suite 2600	Los Angeles	CA	90071
Street Address	City	State	Zip Code
Martin Cabrera			
Contact Person Name and Title			
312-236-8888	mcabrera@cabreracapital.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
  
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? \_\_\_\_\_
  - b. What was the total value of the awarded scholarships? \_\_\_\_\_
  
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies.

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Martin Cabrera, CEO		6/18/2015
Print Name, Title	Signature	Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

Cabrera Capital Markets, LLC is committed to the principles of inclusion of qualified women, minority and disabled (WMD) firms. Cabrera is itself a minority-owned enterprise that strives to maintain a diverse, high-quality work force and build partnerships with other WMD firms. Currently, about 40% of the firm's employees are members of minority groups and 25% are women. Minority and women professionals hold high ranking positions within the firm, including our CEO, CFO, and the Human Resource Director. Cabrera also strives to use minority and women-owned businesses as suppliers and vendors for the firm whenever possible.