

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

Goldman, Sachs & Co.			
Name of Financial Institution			
2121 Avenue of the Stars, Suite 2600	Los Angeles	CA	90067
Street Address	City	State	Zip Code
Joseph Natoli, Vice President			
Contact Person Name and Title			
415 393-7765	joseph.natoli@gs.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

- Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
- Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - How many scholarships were awarded? \* please see below
  - What was the total value of the awarded scholarships? \* please see below
- Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies.

Goldman Sachs strives to provide diverse business with the opportunity to compete on a fair and equal basis for our business. Policies can be found at: <http://www.goldmansachs.com/who-we-are/diversity-and-inclusion/vendor-diversity/index.html>

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Joseph Natoli, Vice President	6/23/15
Print Name, Title	Date
	
Signature	

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

\*In 2014, Goldman Sachs made several grants to Los Angeles area schools (e.g., UCLA and USC) that are used for need-based financial aid, but the Firm does not track if individual recipients of such aid reside within City limits.

**Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks**

Direct Firm Charitable Contributions		
Name of Charitable Organization	Type	Amount (\$)
Aidans Red Envelope Foundation	Children & Youth Development	1,500.00
Aids Healthcare Foundation	Cancer/Aids/Other Diseases	500.00
Alliance for Children's Rights	Children & Youth Development	800.00
Asian Business Association Of Los Angeles	Minority Empowerment	1,500.00
Association of Latino Professionals in Finance and Accounting	Minority Empowerment	148,000.00
Braille Institute Of America Inc	Education & Literacy	250.00
California Hospital Medical Center Foundation	Healthcare - General	5,500.00
Catholic Big Brothers Big Sisters	Faith-Based	2,000.00
Children's Bureau	Children & Youth Development	7,500.00
Children's Hospital of Los Angeles	Healthcare - General	950.00
City Year Los Angeles	Children & Youth Development	11,000.00
CoachArt	Arts & Culture	15,000.00
Coalition Of Asian Pacifics In Entertainment Foundation	Minority Empowerment	2,500.00
Community Partners	Community Development	5,000.00
Covenant House California	Faith-Based	1,000.00
David Geffen School of Medicine	Healthcare - General	50,000.00
Farhang Foundation	Minority Empowerment	1,000.00
Foundation For Prader Willi Research	Healthcare - General	25,000.00
Friends of Third Street School	Education & Literacy	500.00
Geffen Playhouse	Arts & Culture	5,000.00
Hamburger Home/Aviva Center	Seniors	500.00
Inner-City Arts	Arts & Culture	1,250.00
Jewish Big Brothers Big Sisters of Los Angeles	Children & Youth Development	1,000.00
Jewish Vocational Service	Faith-Based	1,000.00
Kids In Sports	Children & Youth Development	5,000.00
Legal Aid Foundation Of Los Angeles	Legal Services/Justice	225.00
Los Angeles City College Foundation	Education & Literacy	1,500.00
Los Angeles County Museum of Art / Museum Associates	Arts & Culture	4,000.00
Los Angeles Museum of The Holocaust	Faith-Based	1,000.00
Los Angeles Philharmonic Association	Arts & Culture	15,000.00
Los Angeles Regional Foodbank	Hungar - Food	2,000.00
Los Angeles Team Mentoring	Community Development	12,000.00
Museum of Contemporary Art	Arts & Culture	5,000.00

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Direct Firm Charitable Contributions		
Name of Charitable Organization	Type	Amount (\$)
Nancy Davis Foundation for Multiple Sclerosis	Cancer/Aids/Other Diseases	\$ 8,000.00
National Immigration Law Center	Immigrants & Refugees	\$ 5,000.00
Network for Teaching Entrepreneurship	Education & Literacy	\$ 1,000.00
Our House	Housing	\$ 700.00
Stop Cancer	Cancer/Aids/Other Diseases	\$ 2,500.00
Strive	Employment	\$ 1,000.00
The Colburn School	Education & Literacy	\$ 1,000.00
UCLA Foundation	Education & Literacy	\$ 16,000.00
United Way of Greater Los Angeles	Community Development	\$ 15,000.00
University of Southern California	Education & Literacy	\$ 10,000.00
Veterans Home of California	Veterans & Military Families	\$ 3,000.00
Yeshiva University Of Los Angeles Boys High School	Education & Literacy	\$ 1,000.00
<b>Subtotal</b>		<b>\$ 398,175.00</b>

Goldman Sachs Gives Charitable Contributions		
Name of Charitable Organization	Type	Amount (\$)
Alliance for College-Ready Public Schools	Education & Literacy	\$ 10,000.00
Autism Speaks, Inc.	Cancer/Aids/Other Diseases	\$ 5,000.00
Bichons and Buddies	Animal Rights	\$ 2,500.00
California Community Foundation	Community Development	\$ 250,000.00
Center for the Study of Young People in Groups	Children & Youth Development	\$ 1,000.00
Childrens Hospital of Los Angeles	Healthcare - General	\$ 2,500.00
City Year, Inc.	Children & Youth Development	\$ 25,000.00
CoachArt Org	Arts & Culture	\$ 1,000.00
Focusing Philanthropy, Inc.	Community Development	\$ 30,000.00
Foundation for Prader-Willi Research	Cancer/Aids/Other Diseases	\$ 5,000.00
Geffen Playhouse Inc.	Arts & Culture	\$ 5,000.00
Harvard-Westlake School	Education & Literacy	\$ 30,000.00
Hirshberg Foundation for Pancreatic Cancer Research	Cancer/Aids/Other Diseases	\$ 1,000.00
LA's Promise	Education & Literacy	\$ 100,000.00
Los Angeles Philharmonic Association	Arts & Culture	\$ 25,000.00
Los Angeles Police Foundation	Community Development	\$ 10,000.00
Los Angeles Regional Food Bank	Hungar - Food	\$ 50,000.00

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<b>Direct Firm Charitable Contributions</b>		
<b>Name of Charitable Organization</b>	<b>Type</b>	<b>Amount (\$)</b>
Los Angeles Team Mentoring, Inc.	Education & Literacy	\$ 20,000.00
Loyola High School of Los Angeles	Education & Literacy	\$ 60,000.00
Marlborough School	Education & Literacy	\$ 21,000.00
Marymount High School	Education & Literacy	\$ 20,000.00
Museum Associates	Arts & Culture	\$ 220,000.00
Our House, Inc.	Housing	\$ 3,000.00
Pacific Council on International Policy	Minority Empowerment	\$ 50,000.00
Planned Parenthood Los Angeles	Women Empowerment	\$ 5,000.00
Point Foundation	Other	\$ 15,000.00
Ryan Seacrest Foundation, Inc.	Other	\$ 5,000.00
Spark Program, Inc.	Employment	\$ 1,000.00
The UCLA Foundation	Education & Literacy	\$ 24,000.00
University of Southern California	Education & Literacy	\$ 140,000.00
<b>Subtotal</b>		<b>\$ 1,137,000.00</b>
<b>Grand Total</b>		<b>\$ 1,535,175.00</b>

*Goldman Sachs*