City of Los Angeles Responsible Banking Investment Monitoring Program For Investment Banks

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:				
Morgan Stanley & Co. LLC				
Name of Financial Institution				
1999 Avenue of the Stars, Suite 2400	Los Angeles	CA	90067	
Street Address	City	State	Zip Code	
Tom Wynne, Vice President	•		·	
Contact Person Name and Title				
(310) 788-2098	Tom.Wynne@n	norganstanley.com		
Telephone No.	Email Address	Email Address		
Please answer the following questions	s for the preceding caler	ndar year.		
 Did your firm make monetar Yes ✓ No ☐ If yes, please 			thin the City limits?	
 Did your firm provide any sc Yes ✓ No 	holarship awards to res	sidents of the C	ity of Los Angeles?	
	rships were awarded? _! Il value of the awarded s		/A*	
 Does your firm have internal designated as "women owner Yes No √ If yes, please 		or "disabled" bu		
CERTIFICATIO	N UNDER PENALTY O	R PERJURY		
I certify under penalty of perjury that I form and the responses contained in have provided full and complete answersponse to this form is true and accur	the form and on all the vers to each question,	attachments. I and that all info	further certify that I rmation provided in	
Tom Wynne, Vice President	Ton Con-		6/19/2015	
Print Name, Title	Signature		Date	

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

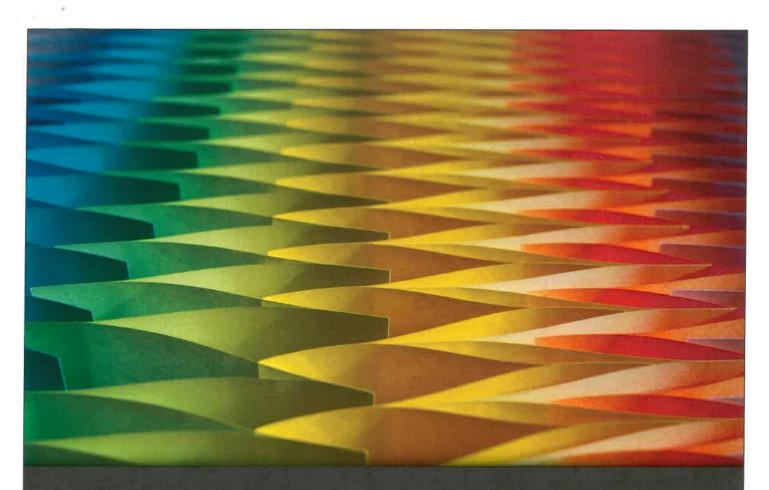
Office of the City Administrative Officer 200 North Main St. Room 1500 Los Angeles, CA 90012 Attention: Debt Management Group

- * MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of L.A., we do not keep historical data on each individual scholarship or the amount awarded
- ** No formal written policy, but MS&CO LLC encourages opportunities for diverse business owners; please see attached

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Туре	Amount (\$)
2015 SPECIAL OLYMPICS WORLD		\$20,000.00
A BETTER LA		15,000.00
ADOPT A HIGHWAY LITTER REMOVAL		3,000.00
ALL IT TAKES		30,000.00
ALPHA OMEGA EDATERNITY		8,950.00
ALPHA OMEGA FRATERNITY		1,200.00
AMERICAN DIABETES ASSOCIATION		750.00
AMERICAN JEWISH COMMITTEE		1,000.00
ARTHRITIS FOUNDATION, PACIFIC		10,000.00
BABY2BABY BLIND CHILDRENS CENTER		1,500.00
BNAI BRITH INTERNATIONAL		500.00
C5 YOUTH FOUNDATION OF		10,000.00
CALIFORNIA COUNCIL ON ECONOMIC EDUCATION		7,500.00
California Science Center Foundation		1,500.00 10,000.00
CASA OF LOS ANGELES		1,500.00
CATHOLIC CHARITIES OF		1,000.00
CEDARS-SINAI MEDICAL CENTER		450.00
CHILDREN'S BUREAU		7,500.00
CHILDRENS CANCER RESEARCH FUND		1,250.00
City Year		5,000.00
COACHART		15,000.00
FREE ARTS FOR ABUSED CHILDREN		10,000.00
FRONTIERS MEDIA		1,750.00
GIRL SCOUTS OF GREATER LA		2,000.00
GLAAD		6,000.00
HEALTHY CHILD HEALTHY WORLD		5,000.00
HISPANIC SCHOLARSHIP FUND		25,000.00
JEWISH FEDERATION COUNCIL OF		1,800.00
Korean Youth and Community Center		5,000.00
LA WORKS		4,000.00
LADIES OF ETHNIC ADVANCEMENT		400.00
LAMBDA LEGAL		2,000.00
LAMBDA LITERARY FOUNDATION		15,000.00
LA'S PROMISE		10,000.00
LOS ANGELES COUNTY MUSEUM OF		10,000.00
LOS ANGELES LATINO		10,000.00
LOS ANGELES PHILHARMONICS		15,000.00
LOS ANGELES TEAM MENTORING		5,000.00
Los Angeles Youth Network		7,500.00
MAKE-A-WISH FOUNDATION OF		5,000.00
MATTEL CHILDREN'S HOSPITAL		10,000.00
MICHAEL YOUNG FAMILY		1,000.00
Optimist Boys Home and Ranch Inc:dba Optimist Youth Homes and Family Services		10,000.00
OSCAR DE LA HOYA FOUNDATION		10,000.00
SIMON WIESENTHAL CENTER INC		500.00
SPARK PROGRAM INC		5,000.00
SPECIAL NEEDS NETWORK INC		2,000.00
ST ANASTASIA		1,000.00
ST JAMES INN		500.00
The American Heart Association		2,000.00
THE GUARDIANS		2,500.00
THE LIVING LEGENDS FOUNDATION		400.00
THE WIDOWS ORPHANS & DISABLED		2,000.00
UCLA FOUNDATION		56,300.00
UCLA FOUNDATION (FUND #612640)		5,000.00
UNIVERSITY OF SOUTH CALIFORNIA		29,000.00
US FUND FOR UNICEF		5,000.00
USC SHOAH FOUNDATION INSTITUTE		5,000.00
WOMEN'S GUILD CEDARS-SINAI		2,500.00
2014 Total		\$442,750.00
Number of Donations		60

Morgan Stanley



SUPPLIER DIVERSITY: GLOBAL REACH, LOCAL OPPORTUNITY

MORGAN STANLEY ACTIVELY SEEKS OUT THE HIGHEST QUALITY PRODUCTS AND SERVICES TO SUPPLY HUNDREDS OF OFFICES ALL OVER THE WORLD.

In our Supplier Diversity Program, we seek to partner with minority and multicultural businesses that meet our competitive standards, including firms run by women, veterans, physically challenged individuals and members of the LGBT community. As a global firm, Morgan Stanley recognizes that diverse perspectives help us better serve our employees, clients and communities.

To learn more about our Supplier Diversity Program, please visit

http://www.morganstanley.com/about-us-supplier-diversity/index.html

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