

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact information:

Wells Fargo Bank, National Association

Name of Financial Institution			
333 S. Grand Ave., 5th Floor	Los Angeles	CA	90071
Street Address	City	State	Zip Code
Michael Engelbrecht, Director			
Contact Person Name and Title			
213-253-7219	michael.j.engelbrecht@wellsfargo.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Michael Engelbrecht, Director
Print Name, Title


Signature

6/18/15
Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

A Better LA	Human Services - General
A Community of Friends	CD - Affordable Housing/Foreclosure Prevention & Counseling Environment
A Community of Friends	Human Services - General
A Place Called Home	Human Services - General
A Place Called Home	Human Services - General
A Place Called Home	Human Services - General
ABODE COMMUNITIES	CD - Other Community Development
ABODE COMMUNITIES	CD - Affordable Housing/Foreclosure Prevention & Counseling
Actors' Fund of America	Human Services - General
Actors' Fund of America	A/C - Performing Arts
Actors' Fund of America	A/C - Performing Arts
African American Male Achievers Network	Education - PreK-12
African Focus Inc	Human Services - General
Aidan's Red Envelope Foundation	Human Services - General
Aidan's Red Envelope Foundation	Human Services - General
AIDS Healthcare Foundation - Los Angeles	Human Services - HIV/AIDS
AIDS Project Los Angeles	Human Services - HIV/AIDS
Al Wooten Jr. Youth and Adult Cultural Educational Center	Education - PreK-12
Alexandria House	Human Services - General
All Glory Project	Human Services - General
Alliance for Children's Rights	Human Services - General
ALLIANCE FOR COLLEGE - READY PUBLIC SCHOOLS	Education - PreK-12
Alliance for Housing and Healing	Human Services - HIV/AIDS
Alzheimer's Association - Los Angeles	Human Services - General
Alzheimer's Association - Los Angeles	Human Services - General
Alzheimer's Association - Los Angeles	Human Services - General
Alzheimer's Association - Los Angeles	Human Services - General
Alzheimer's Association - Los Angeles	Human Services - General
American Cancer Society - Los Angeles	Human Services - General
American Cancer Society - Los Angeles	Human Services - General
American Corporation Of The Arts	Education - PreK-12
American Diabetes Association - Los Angeles	Human Services - General
American Diabetes Association - Los Angeles	Human Services - General
American Film Institute	A/C - Performing Arts
American Film Institute	A/C - Arts Councils/Other
American Heart Association - Los Angeles	Human Services - General
American Heart Association - Los Angeles	Human Services - General
American Jewish Committee - Los Angeles	Human Services - General
American Liver Foundation - Los Angeles	Human Services - General
American Lutheran Church Westchester Lutheran School	Education - PreK-12
American Red Cross - Los Angeles	Human Services - General
American Red Cross - Los Angeles	Human Services - General
American Youth Symphony Inc.	A/C - Performing Arts
Anderson School at UCLA	Education - Post Secondary
Anti-Defamation League - Los Angeles	Human Services - General
Anti-Defamation League - Los Angeles	Civic
Anti-Recidivism Coalition	Human Services - General
Arthritis Foundation - Orange County	Human Services - General
Arthritis Foundation - Orange County	Human Services - General
Asian Americans Advancing Justice - Los Angeles	Civic
Asian Pacific Community Fund of Southern California	CD - Other Community Development
Asian Pacific Islander Small Business Program, Inc.	CD - Small Business
Asian Pacific Women's Center, Inc.	CD - Job Training/Workforce Development/Adult Literacy
Assistance League - Los Angeles	Education - PreK-12
Assistance League - Los Angeles	Education - PreK-12
Assistance League - Los Angeles	Human Services - General
Association of Latino Professionals in Finance and Accounting Foundation Inc.	Civic
Autry National Center	A/C - Museums/Non-Academic Libraries
Barcid Foundation	Civic
BARLOW GROUP	Human Services - General
Barlow Respiratory Hospital	Human Services - General

RBO - Attachment for Question No. 1 - Wells Fargo - June 2015

City of Los Angeles	Civic
Coalition to Abolish Slavery and Trafficking (CAST)	Human Services - General
Colburn School of Performing Arts	Education - PreK-12
Colburn School of Performing Arts	Education - PreK-12
Colburn School of Performing Arts	A/C - Performing Arts
Colburn School of Performing Arts	Education - PreK-12
Communities In Schools - Los Angeles	Education - PreK-12
Community Development Technologies Center	CD - Job Training/Workforce Development/Adult Literacy
Community Financial Resource Center	CD - Small Business
Community Financial Resource Center	CD - Small Business
Community Partners	Education - PreK-12
Community Partners (Christmas in July)	CD - Other Community Development
Community Partners for Educate California	Education - PreK-12
Community Partners for Educate California	Education - PreK-12
Concern Foundation for cancer research	Human Services - General
Concern Foundation for cancer research	Human Services - General
CRE Outreach Foundation, Inc.	A/C - Performing Arts
Creating Aspiring Personalities for Success	Education - PreK-12
Crescent Heights Lang. Arts / Social Justice Magnet School	Education - PreK-12
Crescent Heights Lang. Arts / Social Justice Magnet School	Education - PreK-12
Crescent Heights Lang. Arts / Social Justice Magnet School	Education - PreK-12
Cystic Fibrosis Foundation - Los Angeles	Human Services - General
Debbie Allen Dance, Inc.	Education - PreK-12
Debbie Allen Dance, Inc.	Education - PreK-12
Directors Guild Educational and Benevolent Foundation	A/C - Performing Arts
DMG Music Association, Inc.	A/C - Performing Arts
Dolores Mission	Education - PreK-12
Dolores Mission	Education - PreK-12
Dolores Mission	Education - PreK-12
Dolores Mission	Education - PreK-12
Dolores Mission	Education - PreK-12
Dolores Mission	Education - PreK-12
Door of Hope Community Center	Human Services - General
Downtown Women's Center	CD - Affordable Housing/Foreclosure Prevention & Counseling
Dream Center	Education - PreK-12
DrewChildDevelopmentCorporation	Education - PreK-12
East LA Community Corporation	CD - Affordable Housing/Foreclosure Prevention & Counseling
East LA Community Corporation	CD - Other Community Development
East West Players	A/C - Performing Arts
East Wind Foundation for Youth	Education - PreK-12
Economic Development Corporation of Los Angeles County	CD - Other Community Development
Economic Development Corporation of Los Angeles County	CD - Other Community Development
Economic Development Corporation of Los Angeles County	CD - Other Community Development
EI Centro del Pueblo	Human Services - General
Entertainment Industry Foundation	Human Services - General
Entertainment Industry Foundation	Human Services - General
Entertainment Industry Foundation	Human Services - General
EPIC Program/CSULA	Education - Post Secondary
EVA LONGORIA FOUNDATION	Civic
EVA LONGORIA FOUNDATION	Civic
EVA LONGORIA FOUNDATION	Civic
Executive Service Corps of Southern California	CD - Small Business
Executive Service Corps of Southern California	CD - Small Business
Fernando Pullum Community Arts Center	A/C - Performing Arts
Filipino American Service Group, Inc.	Human Services - General
Ford Theatre Foundation	A/C - Performing Arts
FOUNDATION THINKAGAIN	Human Services - General
Freewill Community Development Corporation	CD - Job Training/Workforce Development/Adult Literacy
Friends of Expo Center	Civic
Friends of Expo Center	Civic
Friends of Expo Center	Civic

Independent School Alliance for Minority Affairs	Education - PreK-12
Inner-City Arts	Education - PreK-12
Inner-City Arts	A/C - Arts Councils/Other
International Institute of Los Angeles	Human Services - General
International Medical Corps	Human Services - General
International Medical Corps	Human Services - General
INTERNATIONAL SEPHARDIC EDUCATIONAL AND CULTURAL CENTER IN JERUSALEM	A/C - Performing Arts
IOLA FOUNDATION	Human Services - General
IRANIAN-AMERICAN HERITAGE FOUNDATION OF SOUTHERN CALIFORNIA	Human Services - General
IRANIAN-AMERICAN HERITAGE FOUNDATION OF SOUTHERN CALIFORNIA	A/C - Arts Councils/Other
James A. Foshay Learning Center	Education - PreK-12
Jeffrey Foundation	Human Services - General
Jewish Family Service of Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Federation Council of Greater Los Angeles	Human Services - General
Jewish Free Loan Association	CD - Other Community Development
Jewish Vocational Service - Los Angeles	Human Services - General
Jewish Vocational Service - Los Angeles	CD - Job Training/Workforce Development/Adult Literacy
Jewish Vocational Service - Los Angeles	Human Services - General
John Douglas French Alzheimer's Foundation	Human Services - General
John Tracy Clinic	Human Services - General
John Tracy Clinic	Human Services - General
John Tracy Clinic	Human Services - General
Jonsson Cancer Center Foundation - UCLA	Human Services - General
Jubilee Concert Series, Inc.	A/C - Performing Arts
JUGS Incorporated	Education - Post Secondary
Junior Achievement - Los Angeles	Education - PreK-12
Junior Blind of America	Human Services - General
Junior Blind of America	Human Services - General
Junior Blind of America	Human Services - General
Junior Blind of America	Human Services - General
Kids In Sports, LA	Education - PreK-12
KOREAN AMERICAN FAMILY SERVICES, INC (KFAM)	Human Services - General
KOREAN AMERICAN WOMENS ASSOCIATION	Human Services - General
Koreatown Youth and Community Center	Human Services - General
Koreatown Youth and Community Center	CD - Other Community Development
LA Conservation Corps	CD - Job Training/Workforce Development/Adult Literacy
LA Conservation Corps	Environment
LA Parks Foundation	Civic
LAANE	CD - Other Community Development
LAGRANT Foundation	Education - Post Secondary
LAGRANT Foundation	Education - Post Secondary
LAGRANT Foundation	Education - Post Secondary
LAGRANT Foundation	Education - PreK-12
Lamp, Inc.	Human Services - General
LA's BEST	Education - PreK-12
LA's BEST	Education - PreK-12
LA's BEST	Education - PreK-12
LA's BEST	Environment
Las Familias Del Pueblo	Education - PreK-12
Latin Business Association Institute	CD - Small Business
Latin Business Association Institute	CD - Other Community Development
Latino Business Chamber of Greater Los Angeles Foundation, Inc.	CD - Small Business
Latino Theater Company	A/C - Performing Arts

Loyola Marymount University	Education - Post Secondary
Loyola Marymount University	Education - Post Secondary
LTSC Community Development Corporation	CD - Other Community Development
LTSC Community Development Corporation	CD - Affordable Housing/Foreclosure Prevention & Counseling
Lucille and Edward R. Roybal Foundation	Education - Post Secondary
Maimonides Academy	Education - PreK-12
Maimonides Academy	Education - PreK-12
MAJOR-LEVEL SPONSORSHIP of Indian Film Festival of Los Angeles	A/C - Performing Arts
Make-A-Wish Foundation - Los Angeles	Human Services - General
Make-A-Wish Foundation - Los Angeles	Human Services - General
Make-A-Wish Foundation - Los Angeles	Human Services - General
Math, Science & Technology Magnet Academy at Roosevelt High School	Education - PreK-12
MATT LEINART FOUNDATION	Civic
MAYORS FUND FOR LOS ANGELES	Human Services - General
MBA Challenge for Charity - Los Angeles (UCLA)	Education - Post Secondary
Mexican American Bar Foundation	Education - Post Secondary
Mexican American Legal Defense and Educational Fund	Civic
Midnight Mission	Human Services - General
Ministerios Manantial de Amor	Human Services - General
MOSTE MOTIVATING OUR STUDENTS THROUGH EXPERIENCE	Education - PreK-12
MOSTE MOTIVATING OUR STUDENTS THROUGH EXPERIENCE	Education - PreK-12
Much Love Animal Rescue	Civic
Mural Conservancy	Civic
Museum of Contemporary Art	Education - PreK-12
Museum of Contemporary Art	A/C - Museums/Non-Academic Libraries
Museum of Contemporary Art	A/C - Museums/Non-Academic Libraries
NATIONAL ASSOCIATION OF LATINO ELECTED OFFICIALS NALEO EDUCATION FUND	Civic
National Association of Women Business Owners - Los Angeles	CD - Small Business
National Association of Women Business Owners - Los Angeles	CD - Small Business
National Congress of Black Women - Los Angeles	Civic
National Multiple Sclerosis Society - Los Angeles	Human Services - General
National Multiple Sclerosis Society - Los Angeles	Human Services - General
National Multiple Sclerosis Society - Los Angeles	Human Services - General
Neighborhood Housing Services of Los Angeles County	CD - Affordable Housing/Foreclosure Prevention & Counseling
Neighborhood Housing Services of Los Angeles County	CD - Affordable Housing/Foreclosure Prevention & Counseling
Neighborhood Housing Services of Los Angeles County	CD - Affordable Housing/Foreclosure Prevention & Counseling
NEIGHBORHOOD MUSIC SCHOOL ASSOCIATION	A/C - Performing Arts
New Birth Bible Church of the Christian and Missionary Alliance	Human Services - General
New Birth Bible Church of the Christian and Missionary Alliance	Human Services - General
New Directions, Inc.	Human Services - General
New Directions, Inc.	Human Services - General
New Directions, Inc.	Human Services - General
New Economics for Women	CD - Affordable Housing/Foreclosure Prevention & Counseling
New Economics for Women	CD - Affordable Housing/Foreclosure Prevention & Counseling
New Economics for Women	CD - Affordable Housing/Foreclosure Prevention & Counseling
NEW VILLAGE CHARTER SCHOOL INC	CD - Affordable Housing/Foreclosure Prevention & Counseling
NewFilmmakers Los Angeles	Education - PreK-12
NIGHTLIGHT MINISTRY	Civic
Ocean Charter School	Human Services - General
ONE Archives Foundation	Education - PreK-12
Operation HOPE, Inc.	Civic
Operation HOPE, Inc.	CD - Job Training/Workforce Development/Adult Literacy
Operation HOPE, Inc.	CD - Job Training/Workforce Development/Adult Literacy
Operation HOPE, Inc.	CD - Job Training/Workforce Development/Adult Literacy
Operation HOPE, Inc.	CD - Affordable Housing/Foreclosure Prevention & Counseling
Operation HOPE, Inc.	CD - Affordable Housing/Foreclosure Prevention & Counseling
Operation HOPE, Inc.	CD - Affordable Housing/Foreclosure Prevention & Counseling
Operation HOPE, Inc.	CD - Other Community Development
Operation HOPE, Inc.	Human Services - General
Operation HOPE, Inc.	CD - Other Community Development
Operation HOPE, Inc.	Human Services - General
Optimist Boys Home & Ranch	Human Services - General
Oscar De La Hoya Foundation	Human Services - General

STOP CANCER	Human Services - General
Swim With Mike - University of Southern California	Education - Post Secondary
Synergy Academies	Education - PreK-12
Taking the Reins	Human Services - General
Taking the Reins	Human Services - General
Taking the Reins	Human Services - General
Taking the Reins	Human Services - General
Teach For America	Education - Post Secondary
Temple Israel of Hollywood	Human Services - General
UCLA Foundation	Education - Post Secondary
UCLA Foundation	Education - Post Secondary
UCLA Foundation	Education - Post Secondary
UCLA Foundation	Human Services - General
UCLA Foundation	Human Services - General
UCLA Foundation	Human Services - General
UCLA Foundation	Education - Post Secondary
UCLA Foundation - Los Angeles	Education - Post Secondary
Unification of Disabled Latin Americans	Human Services - General
Union Rescue Mission	Human Services - General
United Friends of the Children	Human Services - General
United Friends of the Children	Education - PreK-12
United Friends of the Children	Education - PreK-12
United Friends of the Children	Human Services - General
United Negro College Fund - Los Angeles	Education - Post Secondary
United Negro College Fund - Los Angeles	Education - Post Secondary
United States Holocaust Memorial Museum	A/C - Museums/Non-Academic Libraries
United Way - Los Angeles	CD - Other Community Development
United Way - Los Angeles	Human Services - General
United Way - Los Angeles	Human Services - General
University Kidney Research Organization	Human Services - General
University of California - Los Angeles	Education - Post Secondary
University of California Los Angeles (UCLA School of Law)	Education - Post Secondary
University of California Los Angeles Foundation - Ziman Center for Real Estate	Education - Post Secondary
University of Southern CA	Education - Post Secondary
University of Southern California	Education - Post Secondary
University of Southern California	Human Services - General
University of Southern California	Education - Post Secondary
University of Southern California	Education - Post Secondary
University of Southern California	Education - Post Secondary
University of Southern California	Education - Post Secondary
University of Southern California	Education - Post Secondary
UNIVERSITY OF SOUTHERN CALIFORNIA	Education - Post Secondary
University of Southern California	CD - Job Training/Workforce Development/Adult Literacy
University of Southern California	Education - Post Secondary
University of Southern California	Education - Post Secondary
University of Southern California - Los Angeles	Education - Post Secondary
University of Southern California - Los Angeles	Education - Post Secondary
University of Southern California - Los Angeles	Education - Post Secondary
University of Southern California Alumni Association	Education - Post Secondary
Urban Issues Forum	Human Services - General
Urban Issues Forum	Human Services - General
Urban Issues Forum	Human Services - General
Urban League - Los Angeles	CD - Small Business
Variety the Children's Charity of Southern CA	Human Services - General
Variety the Children's Charity of Southern CA	Human Services - General
Verbum Dei High School	Education - PreK-12
Vermont Village Community Development Corporation Inc.	CD - Other Community Development
Visions Global Empowerment	Education - PreK-12
Visions Global Empowerment	Human Services - General
Vista Del Mar Child and Family Services	Human Services - General
Visual Communications Media	Civic

Corporate Supplier Diversity Plan

Approach:

At Wells Fargo diversity is a competitive advantage. We know when our suppliers have different experiences, perspectives, cultures and backgrounds that we'll receive the most innovative and cost effective products and services available. To be successful, it's critical that our suppliers reflect the increasing diversity of our customer's, we're engaging our communities, and attracting and retaining diverse and talented team members. To integrate supplier diversity into all aspects of our business we focus on the following areas:

1. Education and Awareness
 - Assisting Minority, Women, Disadvantaged and Small Business-Owned enterprises (MWDSBEs) in becoming competitive performance leaders in their industries.
 - Creating programs and business models that offer technical assistance, capacity building and development opportunities to MWDSBEs.
2. Measurement and Accountability
 - Supporting sourcing of services and goods from MWDSBEs.
 - Monitoring program effectiveness through performance goals and feedback sessions with Wells Fargo and community leaders.
3. Partnership Development
 - Encouraging business leaders across Wells Fargo to provide diverse-owned businesses equal opportunities to compete for Wells Fargo business.
 - Collaborating with national and regional organizations that share our commitment to support programs and services provided to diverse communities.

Requirements:

MWDSBEs that would like to be recognized as diverse suppliers must be certified by a third-party agency. To qualify as a diverse supplier under Wells Fargo's requirements, a MWDSBE is a for-profit enterprise, regardless of size; and physically located in the United States. The management and daily operations of the business must be owned, operated and controlled by a minority, woman or disadvantaged group member.

