

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Goldman, Sachs & Co.			
Name of Financial Institution			
2121 Avenue of the Stars, Suite 2600	Los Angeles	CA	90067
Street Address			
Joseph Natoli, Vice President	City	State	Zip Code
Contact Person Name and Title			
(415) 393-7765	joseph.natoli@gs.com		
Telephone No.			
Email Address			

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? please see below
 - b. What was the total value of the awarded scholarships? please see below

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

Goldman Sachs strives to provide diverse business with the opportunity to compete on a fair and equal basis for our business. Policies can be found at: <http://www.goldmansachs.com/who-we-are/diversity-and-inclusion/vendor-diversity/index.html>

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Joseph Natoli, Vice President

6/21/2016

Print Name, Title

Signature

Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Childrens Bureau of Southern California	Goldman Sachs Gives (Donor Advised Fund) Grant	\$ 3,000
Community Partners	Goldman Sachs Gives (Donor Advised Fund) Grant	30,000
Cyan Gray Hope Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Cystic Fibrosis Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	1,000
Focusing Philanthropy, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	15,000
Giving Back Fund, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Harvard-Westlake School	Goldman Sachs Gives (Donor Advised Fund) Grant	190,000
Hirshberg Foundation for Pancreatic Cancer Research	Goldman Sachs Gives (Donor Advised Fund) Grant	1,000
Imagination Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	25,000
International Medical Corps	Goldman Sachs Gives (Donor Advised Fund) Grant	40,000
John Thomas Dye School	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Jonsson Cancer Center Foundation-UCLA	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Lamp, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	2,500
Las Madrinas	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000
LAs Promise	Goldman Sachs Gives (Donor Advised Fund) Grant	100,000
Los Angeles Philharmonic Association	Goldman Sachs Gives (Donor Advised Fund) Grant	40,500
Los Angeles Police Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Los Angeles Regional Food Bank	Goldman Sachs Gives (Donor Advised Fund) Grant	32,500
Loyola High School of Los Angeles	Goldman Sachs Gives (Donor Advised Fund) Grant	60,000
Marlborough School	Goldman Sachs Gives (Donor Advised Fund) Grant	45,000
Marymount High School	Goldman Sachs Gives (Donor Advised Fund) Grant	15,000
Mirman School for Gifted Children	Goldman Sachs Gives (Donor Advised Fund) Grant	15,000
Motion Picture and Television Fund	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000
Museum Associates	Goldman Sachs Gives (Donor Advised Fund) Grant	305,000
New Los Angeles Charter School	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Our House, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	3,000
Pacific Council on International Policy	Goldman Sachs Gives (Donor Advised Fund) Grant	50,000
Peer Health Exchange, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
Point Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	40,000
Teach for America, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000
The UCLA Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	29,000
Tom & Ethel Bradley Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	20,000
University of Southern California	Goldman Sachs Gives (Donor Advised Fund) Grant	118,000
Aids Healthcare Foundation	Firm Direct Contribution	1,000
Arthritis Foundation	Firm Direct Contribution	1,000
California Hospital Medical Center Foundation	Firm Direct Contribution	7,000
California Science Center Foundation	Firm Direct Contribution	2,500
Camino Nuevo Charter Academy	Firm Direct Contribution	1,000
Catholic Big Brothers Big Sisters	Firm Direct Contribution	2,000
Children's Bureau	Firm Direct Contribution	10,000
Children's Hospital of Los Angeles	Firm Direct Contribution	1,000
Chrysalis Center	Firm Direct Contribution	1,500
City Year Los Angeles	Firm Direct Contribution	13,000
CoachArt	Firm Direct Contribution	2,500
Community Partners	Firm Direct Contribution	600
Downtown Women's Center	Firm Direct Contribution	1,000
Geffen Playhouse	Firm Direct Contribution	25,000
Harold Robinson Foundation	Firm Direct Contribution	5,000
Independent School Alliance For Minority Affairs	Firm Direct Contribution	10,000
Jewish Federation Council of Greater Los Angeles	Firm Direct Contribution	250
Kids In Sports	Firm Direct Contribution	5,000
LA's Promise	Firm Direct Contribution	3,500
Library Foundation of Los Angeles	Firm Direct Contribution	800
Los Angeles County Museum of Art / Museum Associates	Firm Direct Contribution	10,000
Los Angeles Master Chorale Assn	Firm Direct Contribution	1,000
Los Angeles Philharmonic Association	Firm Direct Contribution	17,500
Los Angeles Regional Foodbank	Firm Direct Contribution	6,000
Los Angeles Team Mentoring	Firm Direct Contribution	12,000
Los Angeles Zoo & Botanical Gardens	Firm Direct Contribution	3,000
Loyola High School	Firm Direct Contribution	500
Martin Luther King Jr Community Health Foundation	Firm Direct Contribution	2,000
Mayors Fund For Los Angeles	Firm Direct Contribution	150,000
Museum of Contemporary Art	Firm Direct Contribution	12,500

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Network for Teaching Entrepreneurship	Firm Direct Contribution	1,000
Otis College of Art and Design	Firm Direct Contribution	333
Spark LA	Firm Direct Contribution	1,250
Teach for America	Firm Direct Contribution	10,000
Tiger Scholarship Foundation	Firm Direct Contribution	3,000
UCLA Foundation	Firm Direct Contribution	19,300
Union Rescue Mission	Firm Direct Contribution	25,100
University of Southern California	Firm Direct Contribution	200
USC Shoah Foundation Institute for Visual History and Education	Firm Direct Contribution	5,500
Veterans Home of California	Firm Direct Contribution	1,500
Watts Learning Center	Firm Direct Contribution	1,250
	Subtotal	\$1,617,083



We strive to provide a full spectrum of businesses with the opportunity to compete on a fair and equal basis for our business and, ideally, to expand and grow while working with us. Having a diverse and inclusive supply chain is a social and economic imperative and we look for vendors that share this commitment.

To do this, we encourage the use of qualified businesses where opportunities exist, promote strategic partnerships and engage a number of external stakeholders in support of our efforts.

We continue to make progress expanding the global reach of our vendor diversity and inclusion efforts. Our program began in North America in 2000, expanded to Europe in 2005 and then to Asia Pacific in 2009. We currently have diversity and inclusion definitions for over 20 countries where we operate, which include the following categories, where applicable:

- Ethnic Minority Owned
- Indigenous Owned
- Lesbian, Gay, Bisexual and Transgender Owned
- Locally Owned or Locally Owned and Small and Medium Enterprise
- Disability Owned
- Service Disabled Veteran Owned Small Business
- Social Enterprise Business
- Small and Medium Enterprise or Small Business
- Supported Business
- Veteran Owned Small Business
- Women Owned

When constructing our global headquarters in New York, more than \$300 million was awarded to women and minority-owned businesses - making it the most successful project in the history of New York State's Minority and Women Owned Business Enterprise

program upon completion. [Nontraditional Employment for Women \(NEW\)](http://www.new-nyc.org/) [http://www.new-nyc.org/], a New York nonprofit that works with unions to bring women into higher-paying jobs in construction trades, recognized Goldman Sachs with its Equity Leadership award for its diversity efforts in the construction of our headquarters building. This is just one example of our commitment to vendor diversity and inclusion.

As a firm, we take our reputation for excellence seriously and seek to align with business partners who share our commitment to quality. In selecting our vendors, we consider businesses that can supply us with the most cost-effective, reliable products and services. Prospective vendors are evaluated on several criteria, including:

- Quality of their products and services
- Customer service
- Pricing
- Ability to assist us in meeting our business goals
- Environmental, Social and Governance commitments

If the ownership structure, scale or nature of your business (and/or one of the companies you subcontract to) meets one or more of your country's national, state or local standards as defined above and you are interested in becoming a Goldman Sachs vendor, please complete the prospective [vendor form](#) [who-we-are/diversity-and-inclusion/vendor-diversity/registration-form.pdf] and return it via e-mail to vendor.diversity@gs.com [mailto:vendor.diversity@gs.com]. Your submission must include a current copy of your relevant certification by a recognized agency and/or self-certification documentation. Note that submission of the form is for informational purposes and internal use only. It is not a guarantee of business and does not ensure "Approved" vendor status.

MESSAGE FROM OUR CHAIRMAN

Goldman Sachs has a passion for achieving results – for our clients, our employees and the communities where we work around the globe. We understand that one way to improve our results is to capitalize on the broad range of experience, skill and perspective that people from different backgrounds possess.

Through our Vendor Diversity Program, Goldman Sachs seeks to cultivate relationships with diverse businesses that share our commitment to achieving excellent results. Vendor diversity not only increases competition and the potential for economic savings, but also provides a means to build the economic foundation of the communities in which we operate around the world. Through the unique expertise of diverse businesses, Goldman Sachs benefits from innovative ideas, a broader customer base and better service for our clients. Our goal is for the firm to have access to the highest quality products and services at the best possible price. We look forward to the prospect of working with you.

Lloyd C. Blankfein
Chairman and Chief Executive Officer

FAQS

Is there a registration process for participating in Goldman Sachs' Vendor Diversity Program? What is the procedure for registering my company?

Does my company have to be certified as a diverse business to participate in the Goldman Sachs Vendor Diversity Program?

What happens after I submit a diverse vendor profile to the Goldman Sachs Vendor Diversity Program? Will someone contact me?

APPLY NOW

Download our prospective diverse vendor form and email it back to us to apply for our Vendor Diversity Program [[/who-we-are/diversity-and-inclusion/vendor-diversity/registration-form.pdf](#)]