

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

Alamo Capital			
Name of Financial Institution			
201 N. Civic Dr. #360	Walnut Creek	CA	94596
Street Address	City	State	Zip Code
Jerry Liang, Senior Vice President, Public Finance			
Contact Person Name and Title			
925-956-0127	jliang@alamocapital.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
  
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? \_\_\_\_\_
  - b. What was the total value of the awarded scholarships? \_\_\_\_\_
  
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies.

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Nancy Mullally, CEO/CFO		9/28/17
Print Name, Title	Signature	Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**



INVESTMENT SERVICES

**Does your firm have internal policies regarding utilization of subcontractors which are designated as “women owned,” “minority owned,” or “disabled” business enterprises?**

Alamo Capital is a supporter of “women owned”, “minority owned” and “disabled” businesses enterprises. As a small woman-owned, emerging business we share many of the same goals and aspirations of other WBE, DBE and DVBE qualified firms and will make it our goal to utilize their services whenever possible. We recognize the valuable contributions small and local vendors make to the local economy and community and are pleased that the City encourages their participation in new business opportunities.

In the event Alamo Capital uses a sub-contractor when servicing a client, our goal is to sub-contract 20% of the revenues generated from the respective engagement.