

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

Morgan Stanley & Co. LLC			
Name of Financial Institution			
1999 Avenue of the Stars, Suite 2400	Los Angeles	CA	90067
Street Address			
City	State		Zip Code
Esther Berg, Executive Director			
Contact Person Name and Title			
(415) 576-2072	Esther.Berg@morganstanley.com		
Telephone No.		Email Address	

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
  
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? N/A\*
  - b. What was the total value of the awarded scholarships? N/A\*
  
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies.\*\*

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Esther Berg, Executive Director		6/26/2017
Print Name, Title	Signature	Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

\* MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of L.A., we do not keep historical data on each individual scholarship or the amount awarded

\*\* No formal written policy, but MS&CO LLC encourages opportunities for diverse business owners; please see <http://www.morganstanley.com/about-us/supplier-diversity>

**Attachment for Question #1 - Responsible Banking Investment Monitoring Program**

<b>Name of Charitable Organization</b>	<b>Type</b>	<b>Amount (\$)</b>
826LA		12,500.00
After School All Stars		5,000.00
AIG		34,000.00
AIG		17,000.00
American Red Cross Los Angeles		3,000.00
Black Women Lawyers		500.00
Blind Children's Center		350.00
C5 Youth Foundation of Southern California, Inc.		15,000.00
Cedars-Sinai Sports		5,000.00
Children's Bureau		9,000.00
Children's Bureau		170.00
Children's Hospital Los Angeles		50,000.00
CIA Officers Memorial		1,000.00
City Year		5,000.00
Coalition to Abolish Slavery		1,000.00
Downtown Women's Center		500.00
Fairchild Publishing, LLC		10,000.00
Families in Schools		2,000.00
Freedom of Spirit Church		10,000.00
FreeFrom		500.00
Fulfillment Fund		5,000.00
Geffen Playhouse		18,000.00
Girl Scouts of Greater LA		2,000.00
GLAAD		7,500.00
HollyRod Foundation		10,000.00
Homeboy Industries		10,000.00
Ian Somerhalder Foundation		2,500.00
IGN Foundation		5,500.00
Insurance Industry Charitable Foundation Inc		1,500.00
Israel Emergency Alliance		2,000.00
Ivie McNeil & Wyatt		2,500.00
Jack Nadel International		531.05
La Plaza de Cultura y Artes		500.00
LA Works		2,000.00
Ladylike Foundation		20,000.00
Ladylike Foundation		20,000.00
Liberty Hill Foundation		1,200.00
Los Angeles County Museum Of		10,000.00
Los Angeles County Museum Of		10,000.00
Los Angeles LGBT Center		10,000.00
Los Angeles LGBT Center		5,000.00
Los Angeles Police Memorial		500.00

**Attachment for Question #1 - Responsible Banking Investment Monitoring Program**

<b>Name of Charitable Organization</b>	<b>Type</b>	<b>Amount (\$)</b>
Los Angeles Times Media Group		75,000.00
Los Angeles Urban League		7,500.00
Marlin Environmental		1,000.00
Mattel Children's Hospital		5,000.00
Mercy for Animals		2,000.00
Mercy for Animals		2,000.00
Merrill Lynch		1,446.43
Meta Foundation		2,500.00
National Kidney Foundation Of		1,100.00
National Sales Network		2,500.00
Neighborhood Youth Association		2,500.00
New Horizons Serving Individuals with Special Needs		2,000.00
ONEgeneration		500.00
Oscar De La Hoya Foundation		3,000.00
Pacific Century Institute		1,000.00
Pacific Palisades Community Council		2,000.00
Philippine American Bar		350.00
Present Now		12,000.00
PWWLC		750.00
Reaching Out MBA, Inc.		10,000.00
Reaching Out MBA, Inc.		9,430.00
The Anderson Family Foundation		10,000.00
The Blackhouse Foundation		15,000.00
The Dream Center		2,000.00
The New Hollywood Women's Goal Group Inc.		1,500.00
The UCLA Foundation		6,000.00
The UCLA Foundation		5,500.00
The UCLA Foundation		3,000.00
The UCLA Foundation		1,300.00
The UCLA Foundation		100.00
TRE Motorsports Inc		1,000.00
Triunfo YMCA		3,000.00
ULI Los Angeles		5,000.00
United Friends of the Children		8,750.00
University of Southern California		10,000.00
USC Athletics		1,000.00
Valley Vineyard Christian Fellowship		1,500.00
West Valley Family YMCA		8,000.00
Westlake Baseball Association		500.00
Westlake Village Juniors		500.00
Widows, Orphans & Disabled Firemen's Fund		1,000.00
<b>Total</b>		<b>555,977.48</b>