

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

US Bancorp*			
Name of Financial Institution			
1 California St., Ste. 350	San Francisco	CA	94111
Street Address	City	State	Zip Code
Michael Placencia			
Contact Person Name and Title			
415-677-3690	michael.placencia@usbank.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Michael Placencia, Managing Director		6/26/2017
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

*US Bancorp is the marketing name of U.S. Bancorp and its subsidiaries, including U.S. Bancorp Investments, Inc. ("USBII") and U.S. Bank Municipal Products Group, a division of U.S. Bank National Association ("USBMPG"). Municipal products and services, including underwriting, remarketing and other investment banking services for municipal entities are available through USBII and USBMPG.

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Total Charitable City of LA = \$1,717,225 comprised of Contributions: \$1,087,500 and Grants: \$629,725

Through the U.S. Bank Foundation, we provide cash contributions to nonprofit organizations in our priority areas of education, affordable housing and economic opportunity, and artistic and cultural enrichment. Our Corporate Contributions programs provide additional support to our focus areas as well as civic contributions. While we do not disclose amounts provided to specific organizations, in 2016, U.S. Bank provided more than \$1.7 million in charitable contributions in the City of Los Angeles.

Name of Charitable Organization	Type	Amount (\$)
A Community of Friends	Housing	See note above
A Place Called Home	Arts & Culture	See note above
Abode Communities (Los Angeles Community Design Center)	Housing	See note above
Actors Fund	Housing	See note above
African American Male Achievers Network Inc.	Economic Development	See note above
Asian Business Association Los Angeles	Economic Development	See note above
Asian Pacific Islander Small Business Program - Annual Small Business Expo	Economic Development	See note above
Autry Museum of the American West	Arts & Culture	See note above
Bet Tzedek	Economic Development	See note above
Big Brothers Big Sisters of Greater Los Angeles	Economic Development	See note above
Blind Children's Center	Economic Development	See note above
Boy Scouts of America Western Los Angeles County Council	Economic Development	See note above
Boys and Girls Club of Venice	Arts & Culture	See note above
Bresee Foundation	Economic Development	See note above
C5 Youth foundation of Southern California	Economic Development	See note above
California Council on Economic Education	Economic Development	See note above
Catholic Charities of Los Angeles, Inc. DBA Archdiocesan Youth Employment Service	Economic Development	See note above
Catholic Education Foundation	Economic Development	See note above
CCEE	Economic Development	See note above
Center Theatre Group	Arts & Culture	See note above
Center Theatre Group of Los Angeles	Arts & Culture	See note above
Chinatown Service Center	Economic Development	See note above
Chrysalis	Economic Development	See note above
City Scholars Foundation	Economic Development	See note above
Clearpoint Financial Solutions	Economic Development	See note above
Community Partners DBA Educate California	Economic Development	See note above
Cornerstone Theater Company	Arts & Culture	See note above
Cornerstone Theater Company	Arts & Culture	See note above
Covenant House California	Economic Development	See note above
CPAF	Housing	See note above
CRE Outreach Foundation	Arts & Culture	See note above
Diamond in the Raw	Arts & Culture	See note above
Discovery Cube Los Angeles	Arts & Culture	See note above
Downtown Los Angeles Art Walk	Economic Development	See note above
Dress for Success Worldwide	Economic Development	See note above
East LA Community Corporation	Housing	See note above
Easter Seals	Economic Development	See note above
Ebony Repertory Theatre	Arts & Culture	See note above
Educare Foundation	Economic Development	See note above
Educating Young Minds	Economic Development	See note above
Education Advantage Foundation	Economic Development	See note above
EmpowerHer	Economic Development	See note above
EmpowHer Institute	Economic Development	See note above
Enterprise Community Partners	Housing	See note above
Esperanza Community Housing	Housing	See note above
Forgiving for Living	Economic Development	See note above
Geffen Playhouse	Arts & Culture	See note above
Grammy Museum Foundation	Arts & Culture	See note above
Grand Performances	Arts & Culture	See note above
Grand Vision Foundation	Arts & Culture	See note above
Greater Los Angeles African American Chamber of Commerce	Economic Development	See note above
Greater Los Angeles Zoo Association	Arts & Culture	See note above
Grid Alternatives	Economic Development	See note above
Habitat for Humanity of Greater Los Angeles	Housing	See note above
Habitat for Humanity San Fernando Valley	Housing	See note above
Hands for Hope	Economic Development	See note above
Harmony Project	Arts & Culture	See note above
Harvest Home	Housing	See note above
Hollywood Community Housing Corporation	Housing	See note above
HPP Cares	Housing	See note above
Inner-City Arts	Arts & Culture	See note above
Jabberwocky Theater Company (aka Rogue Machine Theater)	Arts & Culture	See note above
Jewish Vocational Services	Economic Development	See note above

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Name of Charitable Organization	Type	Amount (\$)
Junior Achievement of Southern CA	Economic Development	See note above
Junior Achievement of Southern California	Economic Development	See note above
Korean Churches for Community Development	Housing	See note above
Koreatown Youth & Community Center	Economic Development	See note above
Koreatown Youth and Community Center	Economic Development	See note above
L.A. Family Housing	Housing	See note above
LACER Afterschool Programs	Arts & Culture	See note above
LIFT, Inc.	Economic Development	See note above
LINC Housing	Housing	See note above
Little Tokyo Service Center	Housing	See note above
Local Initiatives Support Corporation-Los Angeles	Economic Development	See note above
Los Angeles Area Chamber of Commerce	Economic Development	See note above
Los Angeles Brotherhood Crusade	Economic Development	See note above
Los Angeles City College Foundation	Economic Development	See note above
Los Angeles County Museum of Art	Arts & Culture	See note above
Los Angeles Jewish Symphony	Arts & Culture	See note above
Los Angeles Latino Chamber of Commerce	Economic Development	See note above
Los Angeles LDC	Economic Development	See note above
Los Angeles LGBT Center (previously L. A. Gay & Lesbian Center)	Housing	See note above
Los Angeles Mission Inc	Housing	See note above
Los Angeles Opera Company	Arts & Culture	See note above
Los Angeles Opportunities Industrialization Center	Economic Development	See note above
Los Angeles Trade Tech Foundation	Economic Development	See note above
Lula Washington Contemporary Dance Foundation	Arts & Culture	See note above
Midnight Mission	Housing	See note above
Neighborhood Housing Services Los Angeles	Housing	See note above
Network for Teaching Entrepreneurship	Work	See note above
Olive Crest Homes & Services for Abused Children	Housing	See note above
Optimist Youth Home and Family Services	Housing	See note above
Pacific Asian Consortium in Employment (PACE)	Economic Development	See note above
Para Los Ninos	Economic Development	See note above
PATH Ventures	Housing	See note above
Penny Lane	Housing	See note above
Performing Arts Center of Los Angeles County (Gloria Kaufman Presents Dance at the Music Center)	Arts & Culture	See note above
Principles of Success Motivational Programs	Economic Development	See note above
Public Counsel	Economic Development	See note above
RISE Financial Pathways	Economic Development	See note above
San Pedro City Ballet	Arts & Culture	See note above
Sci Fest	Economic Development	See note above
Search to Involve Pilipino Americans	Economic Development	See note above
Shelter Partnership	Economic Development	See note above
Single Room Occupancy Housing Corp	Housing	See note above
Skid Row Housing Trust	Housing	See note above
Skirball Cultural Center	Arts & Culture	See note above
Southern CA Association of Non-Profit Housing	Housing	See note above
Step Up Women's Network	Economic Development	See note above
Step Up Women's Network	Economic Development	See note above
Strong Food LA Kitchen	Economic Development	See note above
Taproot Foundation	Economic Development	See note above
TELACU Education Foundation	Economic Development	See note above
Tierra del Sol Foundation	Arts & Culture	See note above
Toberman Neighborhood Center	Economic Development	See note above
Toberman Neighborhood Center	Economic Development	See note above
United Way of Los Angeles	United Way	See note above
Venice Family Clinic	Economic Development	See note above
Verbum Dei	Economic Development	See note above
Watts Cinema Education Center Inc	Economic Development	See note above
West Angeles Community Development Corporation	Economic Development	See note above
West Valley Boys & Girls Club	Economic Development	See note above
YMCA Metropolitan Los Angeles - North Valley	Arts & Culture	See note above
YMCA Stuart M. Ketchum Downtown	Economic Development	See note above
YMCA Torrance South Bay	Arts & Culture	See note above
Young Center for Academic and Cultural Enrichment	Economic Development	See note above
Young Storytellers Foundation	Arts & Culture	See note above


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Supplier Diversity



A Message from the Executive Chairman

U.S. Bank is committed to building the best bank in America. To meet this goal, we're helping to build the best communities in America. That's why we support Supplier Diversity. It creates opportunities for more individuals and firms to do business with us. When the diverse communities we serve are strong, then we have economic vitality in the communities where we live and work.

Richard K. Davis
Executive Chairman

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Program Mission:

The mission of the U.S. Bank Supplier Diversity program is to identify, develop and build strong relationships with certified small and diverse business enterprises that are qualified to supply U.S. Bank with quality products and services.

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What is Supplier Diversity at U.S. Bank?

Supplier Diversity demonstrates our commitment to the process of promoting business opportunity at the bank for certified minority and woman owned business enterprises (MWBs) as well as certified veteran and LGBT businesses. This includes identifying opportunities for diverse suppliers to participate in the competitive bid process on an equal basis with all other bidders and to promote business development of diverse suppliers.

Corporate Procurement Management works cross-functionally throughout U.S. Bank to identify business opportunities for diverse suppliers. The Supplier Diversity Manager acts as the liaison for all parties interested in pursuing business opportunities with the bank.

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Why Does U.S. Bank Value Supplier Diversity?

Our success is directly related to the vitality of the communities we serve. Our communities — spanning from the Ohio Valley to the Twin Cities to the Rocky Mountains to the Pacific Ocean — are made up of an increasingly diverse population. Diverse suppliers are rapidly growing and progressing from suppliers of small commodity lines to providers of a wide variety of manufactured products and corporate services. By doing business with diverse suppliers, we're building bridges

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within the community and contributing to the growth of companies who, in turn, help us build the best bank in America.

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Eligibility Requirements:

U.S. Bank requires a company to be at least 51% owned, controlled and managed by one or more minority group members, women, veteran or LGBT person(s). Minority groups include the following:

- African Americans
- Asian Indian Americans
- Asian Pacific Americans
- Hispanic Americans
- Native Americans

Qualifying companies must be located within the United States, its territories or its possessions.

Certified companies must submit a certification received from an authorized third party or regulatory agency. U.S. Bank accepts certification completed by regional councils of the National Minority Supplier Development Council (NMSDC) and the Women's Business Enterprise National Council (WBENC), National Women Business Owners Corporation (NWBOC), National Gay & Lesbian Chamber of Commerce (NGLCC), a federal, state or local government affiliate or municipality.

We work with over 60 prime (Tier 1) suppliers to support the growth of diverse businesses by requiring the reporting of their subcontracting (Tier 2) utilization of diverse suppliers on U.S. Bank contracts.

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Program Commitment:

U.S. Bank is committed to Supplier Diversity as an enterprise-wide program in which all business lines participate. The key drivers are all employees that purchase and/or influence purchasing decisions of goods and services in our daily operations. U.S. Bank also provides support to our national and local diversity councils by volunteering on various boards of directors as well as committees. We value our relationships with our diversity stakeholders and provide support to our partners by deepening our commitment to these organizations by not only providing the funding but we also invest our time and energy.

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Program Objectives and Focus:

Continued organizational awareness, education and training of U.S. Bank's Supplier Diversity Program and initiatives.

Tracking and reporting of U.S. Bank's performance toward achieving defined supplier diversity goals and objectives with a strong emphasis on continuous improvement.

Assist business lines in the development of supplier diversity strategies that are aligned with U.S. Bank's strategic plans.

Find potential diverse suppliers, including identifying opportunities to expand existing diverse supplier relationships.

Mentor and Capacity building to promote the growth and development of small and diverse businesses.

Attend and support outreach events that promote diverse supplier utilization such as WBENC, NMSDC and NGLCC national tradeshows and conferences.

Provide resources and tools to assist in the development and growth of small and diverse businesses.

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Our Performance:

U.S. Bank Spend with Certified MBE/WBE/SDV/VET/LGBT	
Year	Spend
2014*	\$309MM
2015	\$368MM
2016	\$405MM**

*2014 total reflects MBE/WBE spend only.

**10% increase from 2015

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How Can Diverse Suppliers Get Connected?

You can meet U.S. Bank's Supplier Diversity team and other key employees by attending local, regional and national events such as both WBENC and NMSDC National Tradeshows. In addition, U.S. Bank also plans on attending The Ohio Minority Supplier Diversity Business Opportunity Fair, North Central Minority Supplier Development Council's Business Opportunity Fair, The Mid States Supplier Diversity Council Business Opportunity Fair and the Women's Business Development Center's Annual Entrepreneurial Women's Conference to name a few. U.S. Bank's commitments and involvement vary from event to event. Please contact us at supplier.diversity@usbank.com for additional details.

Interested businesses may complete our [Supplier Profile Registration](#).

Completing this registration will make you visible to U.S. Bank, should there be an opportunity for products/services that you can supply.

By registering, you are indicating that you are a diverse-owned business. Should there be an opportunity to contract with you in the future, you will be required to provide certification verification at that point.

We will contact you if an opportunity for your company's products/services becomes available.

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Contact Information:

If you have questions about U.S. Bank Supplier Diversity initiatives, please email supplier.diversity@usbank.com.

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