

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Citigroup Global Markets Inc.			
Name of Financial Institution			
444 South Flower Street, 27th Floor	Los Angeles	California	90071
Street Address	City	State	Zip Code
Cameron Parks, Managing Director			
Contact Person Name and Title			
213-486-7130	cameron.parks@citi.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Cameron Parks, Managing Director
Print Name, Title


Signature

6/20/2018
Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
My Good Deed	Community Development	\$ 12,000.00
Economic Development Corporation of Los Angeles County	Economic Development	\$ 250,000.00
United Way of Greater Los Angeles	Community Development	\$ 250,000.00
Local Initiatives Support Corporation	Community Development	\$ 250,000.00
Koreatown Youth and Community Center	Children & Youth Development	\$ 250,000.00
East LA Community Corporation	Community Development	\$ 250,000.00
Los Angeles LGBT Center	Community Development	\$ 250,000.00
Homeboy Industries	Community Development	\$ 250,000.00
Philanthropy New York Inc	Community Development	\$ 80,000.00
Network For Teaching Entrepreneurship	Community Development	\$ 220,000.00
Cities For Financial Empowerment Fund	Community Development	\$ 400,000.00
National Association for Urban Debate Leagues	Community Development	\$ 30,000.00
My Good Deed	Community Development	\$ 50,000.00
Los Angeles Conservation Corps	Community Development	\$ 250,000.00
YouthBuild USA, Inc.	Children & Youth Development	\$ 80,000.00
Operation Hope	Children & Youth Development	\$ 100,000.00
National Academy Foundation	Community Development	\$ 12,800.00
National Association for Urban Debate Leagues	Community Development	\$ 38,500.00
Local Initiatives Support Corporation	Community Development	\$ 95,000.00
Housing Opportunities Collaborative	Community Development	\$ 21,000.00
Habitat for Humanity San Fernando/Santa Clarita Valleys	Community Development	\$ 50,000.00
Junior Achievement of Southern California	Children & Youth Development	\$ 50,000.00
Valley Economic Development Center	Community Development	\$ 50,000.00
Los Angeles LGBT Center	Community Development	\$ 250,000.00
Los Angeles River Revitalization Corporation	Community Development	\$ 250,000.00
California Community Foundation	Community Development	\$ 250,000.00
Coalition for Responsible Community Development	Community Development	\$ 250,000.00
Neighborhood Housing Services of Los Angeles	Community Development	\$ 250,000.00
REDF	Economic Development	\$ 250,000.00
Bridge Housing Corporation	Economic Development	\$ 125,000.00
Corporation for Supportive Housing	Economic Development	\$ 250,000.00
Youth Policy Institute Inc	Children & Youth Development	\$ 250,000.00
LIFT	Community Development	\$ 32,500.00
Philanthropy New York Inc	Community Development	\$ 70,000.00
Network For Teaching Entrepreneurship	Children & Youth Development	\$ 275,000.00
Cities For Financial Empowerment Fund	Economic Development	\$ 500,000.00



Citi Supplier Diversity US Overview 2016

Citi's corporate philosophy is that Supplier Diversity is an integral part of its corporate social responsibility and sustainability and therefore high on its agenda. Citi launched our U.S. Supplier Diversity program 40 years ago on the principles that it is important to work with a wide range of diverse suppliers and consultants in the communities we serve.

Since then we have engaged with diverse businesses in across all commodities and lines of business. Citi tracks both Tier I and Tier II spend. The Tier II program is designed to encourage our Prime Suppliers to subcontract with small and diverse businesses as well as develop a supplier diversity program of their own. Spending with diverse suppliers is tracked and reported on a quarterly basis. In 2016, Citi spent \$11 billion for goods and services in the United States. Our supplier diversity expenditures totaled just over \$825 million with direct and indirect diverse suppliers in 2016, including a Tier 1 total spend 17% above target based on 2015 procurement spend.

In 2016, Citi Supplier Diversity made significant progress in continuing to drive internal efforts to more effectively leverage diverse suppliers. Enterprise Supply Chain senior leadership continued to ensure focus on diverse supplier utilization for both Tier I and Tier II opportunities through an engaged Senior Leadership Committee and Regional and Commodity focused Champion networks.

Additional actions in 2016 included:

- Leveraging robust diversity champion teams across all managed commodities, with individuals tasked at the category level to track performance, identify opportunities and provide reporting on program progress.
- Working to expand Development and Inclusion efforts globally through our regional champion networks
 - Partnering with Citi Canada country leadership, joining Canadian Aboriginal Minority Supplier Council
 - Continuing to develop our engagement with Minority Supplier Development UK.
 - Launching WEConnect International membership (a global women's organization)
- Continuing to leverage industry-wide Supplier Connection initiative to drive focus across categories towards US Small and Diverse Business Spend as it relates to unemployment and economic recovery.

Citi Supplier Diversity also continued on-going outreach efforts including:

- Hosting third annual NMSDC CPO Summit at Citi Global Headquarters, 388 Greenwich, New York. The event brought CPOs from every industry, including other major financial institutions, together to discuss integrating Supplier Diversity efforts into Global Strategic Sourcing functions.
- In partnership with the New York & New Jersey Minority Supplier Development Council and Rutgers University School of Business, Citi launched its participation in the Accelerate 2020 Business Mentoring program. As a participant, Citi provides learning sessions to full cohort of MBE and WBE-owned companies. Citi will also provide MBE CPOs.

- Hosted the twelfth annual Supplier Diversity/Capital Markets Origination Broker Dealer Breakfast in New York. The breakfast includes a briefing on Citi's view of the markets and direction for the upcoming year. As a result of this ongoing engagement
 - Over the last twelve months, 14 diverse broker dealers (minority/women/veteran-owned) have participated in 31 Citi book run US equity and equity linked offerings
 - Since 2016, over 25 diverse broker dealers have participated in Citi's USD denominated unsecured benchmark debt and capital offerings. This past year, Citigroup Treasury included five new minority-owned institutions in our transactions
 - In 2016, Citi also included minority dealers as part of the selling group in our public ABS transactions
- Citi Supplier Diversity continued its partnership with the NYC Small Business Services Corporate Alliance Program. The program brings together large corporations and minority and women owned firms with a focus on corporate skills training and mentoring.
- Hosted the annual Supply Chain Sustainability Symposium in partnership with the New York/New Jersey Minority Supplier Development Council (NY/NJ MSDC).

In 2016 Citi's Supplier Diversity efforts were recognized with a 2nd nomination for the National Minority Supplier Development Council (NMSDC) Corporation of the Year. Citi received the NMSDC Gazelle Award which recognizes corporations who exhibit double digit growth with MBEs. Additionally, Citi's Supply Chain Development, Inclusion and Sustainability director, I. Javette Hines, was recognized as Corporate Trailblazer by NMSDC & Advocate of the Year by NYNJMSDC.

Citi's Supplier Diversity team continues to support and partner with leading national and regional diverse business development organizations, including the National Minority Supplier Development Council (NMSDC), Women's Business Enterprise National Council (WBENC), and local chambers of commerce. Citi remains engaged with the Financial Services Industry Group--NMSDC, a banking and insurance networking group dedicated to the growth and development of diverse businesses.

In support of Citi engagement on the UN Women's Empowerment Initiative, Enterprise Supply Chain partnered with Global Branding and UN Women to develop a Corporate Guide to Gender-Responsive Procurement with global workshops in New York, Brazil and India.

At Citi we recognize the value of a diverse supply chain and are committed to driving supplier diversity as part of the way we do business by focusing our efforts on supplier development, training, outreach, and sourcing. We believe continued focus on supplier diversity as part of a sustainable supply chain will only make our businesses, our customers, and the communities we serve stronger.

A Final Note

For additional information about the Citi Supplier Diversity Program please visit:
http://www.citigroup.com/citi/corporate/supplier_diversity/index.htm

Read our annual Citizenship Report here:
<http://www.citigroup.com/citi/about/citizenship/>

or contact us at:
SupplierDiversity@citi.com