

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

U.S. Bancorp Investments, Inc. and U.S. Bank Municipal Products Group

Name of Financial Institution			
1 California Street, Suite 350 San Francisco, CA 94111			
Street Address	City	State	Zip Code
Scott Nagelson, Managing Director			
Contact Person Name and Title		scott.nagelson@usbank.com	
415-677-3685			
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form. Please see Excel file included with this document.
  
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? \_\_\_\_\_
  - b. What was the total value of the awarded scholarships? \_\_\_\_\_
  
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies. Policies are considered internal confidential and not available for external distribution. Information about our Supplier Diversity program can be found at [www.usbank.com/community/supplier-diversity.html](http://www.usbank.com/community/supplier-diversity.html)

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Scott Nagelson, Managing Director	<i>P.S. Nagelson</i>	September 13, 2019
Print Name, Title	Signature	Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

**Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks**

<b>Name of Charitable Organization</b>	<b>Type</b>	<b>Amount (\$)</b>
Please refer to attached Excel File.		

Name of Institution:  
 Certification Year: 2019  
 Data Reporting Calendar Year: 2018

Exhibit I  
 Community Investments and/or Partnerships

Type	Quantity	Value	Column1
Community Development Investments	102	\$ 1,517,418.00	

- Data presented is for calendar year 2018.
- U.S. Bank does not track or provide data for community development investments at the census tract level or city level. **Information is therefore provided for the County of Los Angeles.**
- Data provided includes foundation grants and corporate contributions. For organization/partner list, please see below.

Nonprofit	Focus of Funding
A Place Called Home	Education
Aquarium of the Pacific	Education
Aquarium of the Pacific	Education
Big Brothers Big Sisters of Greater Los Angeles	Education
Boys and Girls Club of Santa Monica	Education
Boys and Girls Club of the South Bay	Education
Boys and Girls Club of the West Valley	Education
Boys and Girls Club of Venice	Education
Boys and Girls Clubs of Long Beach	Education
Bresee Foundation	Education
C5 Youth foundation of Southern California	Education
California State University Northridge	Education
California State University, Los Angeles	Education
CASA of Los Angeles	Education
Catalina Island Conservancy	Education
Center Theatre Group of Los Angeles	Education
City Hearts: Kids Say Yes to the Arts	Education
City Scholars Foundation	Education
Council of African American Parents	Education
Covenant House California	Education
Discovery Science Center of Los Angeles dba Discovery Cube Los Angeles	Education
Educating Young Minds	Education
EmpowHer Institute	Education
ESP Education & Leadership Institute	Education
Forgiving for Living	Education
Geffen Playhouse	Education
Grammy Museum Foundation	Education
Grand Vision Foundation	Education
Greater Los Angeles Zoo Association	Education
Hands for Hope	Education
Inner-City Arts	Education
Kids in the Spotlight Inc.	Education
L.A.C.E.R. Afterschool Programs	Education
Legacy Ladies Inc.	Education
Long Beach Museum of Art	Education
Los Angeles Brotherhood Crusade	Education
Los Angeles Opera Company	Education

Museum Associates DBA Los Angeles County Museum of Art	Education
National College Resources Foundation	Education
No Limits Theater Group dba No Limits for Deaf Children	Education
Para Los Niños	Education
Performing Arts Center of Los Angeles County (Gloria Kaufman Presents Dance at the Music Center)	Education
POWER 4 Youth	Education
Principles of Success Motivational Programs	Education
Skirball Cultural Center	Education
STEAM:CODERS, a project of Community Partners	Education
Step Up Women's Network	Education
The Museum of Contemporary Art Los Angeles (MOCA)	Education
The UCLA Foundation	Education
Urban Compass	Education
YMCA Greater Long Beach	Education
YMCA Stuart M. Ketchum Downtown	Education
Young Center for Academic and Cultural Enrichment	Education
Young Men's Christian Association of Metropolitan Los Angeles dba Downey Family YMCA	Education
Young Storytellers Foundation	Education
Youth Science Center	Education
California Council on Economic Education	Financial Literacy
Center for the Pacific Asian Family (CPAF)	Financial Literacy
Clearpoint Financial Solutions (Money Management International)	Financial Literacy
Faith and Community Empowerment (FACE), formerly KCCD	Financial Literacy
Junior Achievement of Southern CA	Financial Literacy
Junior Achievement of Southern California	Financial Literacy
Koreatown Youth & Community Center	Financial Literacy
LIFT, Inc.	Financial Literacy
Los Angeles LGBT Center (previously L. A. Gay & Lesbian Center)	Financial Literacy
Neighborhood Housing Services Los Angeles	Financial Literacy
West Angeles Community Development Corporation	Financial Literacy
Asian Business Center	Job Growth/Training
Asian Pacific Islander Small Business Program	Job Growth/Training
Business Resource Group	Job Growth/Training
Chrysalis	Job Growth/Training
CRAF: CA Restaurant Assoc. Foundation	Job Growth/Training
Dress for Success Worldwide	Job Growth/Training
Economic Alliance of the San Fernando Valley (Valley Economic Alliance)	Job Growth/Training
Exceptional Children's Foundation	Job Growth/Training
Fashion Legacy Association for Industry Recognition	Job Growth/Training
Goodwill Industries of Southern California	Job Growth/Training

Greater Los Angeles African American Chamber of Commerce	Job Growth/Training
Holman Community Development Corporation	Job Growth/Training
Jewish Vocational Services	Job Growth/Training
Local Initiatives Support Corporation-Los Angeles	Job Growth/Training
Los Angeles Latino Chamber of Commerce	Job Growth/Training
Los Angeles LDC	Job Growth/Training
Los Angeles Mission Inc	Job Growth/Training
Los Angeles Trade Tech Foundation	Job Growth/Training
Los Angeles Urban League	Job Growth/Training
Network for Teaching Entrepreneurship	Job Growth/Training
New Economics for Women	Job Growth/Training
Pacific Asian Consortium in Employment (PACE)	Job Growth/Training
Pacific Coast Regional	Job Growth/Training
Southern California Institute of Architecture aka SCI-Arc	Job Growth/Training
Streetlights Production Assistant Program Inc	Job Growth/Training
Strong Food LA Kitchen	Job Growth/Training
TELACU Education Foundation	Job Growth/Training
United Cerebral Palsy/Spastic Children's Foundation of Los Angeles and Ventura County	Job Growth/Training
United States Veterans Initiative	Job Growth/Training
VEDC	Job Growth/Training
Vermont Slauson Local Development Corp.	Job Growth/Training
VIA Education Foundation	Job Growth/Training
Wiley Center	Job Growth/Training
YWCA Greater Los Angeles	Job Growth/Training
United Way of Los Angeles	All