

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

William Blair & Company, L.L.C.

Name of Financial Institution			
10100 Santa Monica Boulevard,	Los Angeles,	CA	90067
Street Address	City	State	Zip Code
Ajay K. Thomas, Managing Director and Head of Municipal Securities Group			
Contact Person Name and Title			
512-813-7662	athomas@williamblair.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

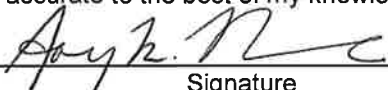
1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies. Please see attached statements from William Blair

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Ajay K. Thomas		6/30/17
Print Name, Title	Signature	Date
Managing Director and Head of Public Finance		

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

William Blair

William Blair & Company, L.L.C. is an equal opportunity employer. It complies with all laws and regulations which prohibit discrimination in employment practice because of race, color, religion, creed, ancestry, marital status, sex, age, national origin, unfavorable discharge from the military service or on the basis of a physical or mental handicap that is unrelated to the employee's ability to perform the duties of the job for which they have applied. No question is asked for the purpose of limiting or excluding any applicant's consideration for employment because of these factors and no answer is used for such purposes.

William Blair frequently submits bids to include small business/minority owned contractors to our governmental issuer clients when it is permitted by the issuer. Recently, we submitted a proposal to LA Metro hiring Backstrom, McCarley, Berry & Co. to partner with us. We have also partnered with Acacia Financial on our CAO project and have submitted proposals to partner with Europacifica and Siebert.