

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

J.P. Morgan Securities LLC			
Name of Financial Institution			
560 Mission Street	San Francisco	CA	94105
Street Address			
William Frymann, Executive Director	City	State	Zip Code
Contact Person Name and Title			
415-315-3901	will.frymann@jpmorgan.com		
Telephone No.			
	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Will Frymann, Executive Director

Print Name, Title

Signature

Date

06/20/2019

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group

CITY ADMINISTRATIVE OFFICER
2019 JUN 24 AM 9:58

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Enterprise Community Partners		\$ 523,500.00
Genesis LA Economic Growth Corporation		\$ 500,000.00
Los Angeles Area Chamber of Commerce Foundation		\$ 448,500.00
LeadersUp		\$ 300,000.00
Jobs to Move America		\$ 250,000.00
Operation Hope, Inc		\$ 248,500.00
East LA Community Corporation		\$ 168,500.00
LABC Institute		\$ 167,850.00
Los Angeles Cleantech Incubator		\$ 150,000.00
MAYORS FUND FOR LOS ANGELES		\$ 150,000.00
LIFT Inc		\$ 150,000.00
United Way Inc		\$ 133,010.00
LOS ANGELES BROTHERHOOD CRUSADE - BLACK UNITED FUND INC		\$ 109,100.00
TELACU Education Foundation		\$ 108,800.00
LTSC Community Development Corporation		\$ 104,400.00
Koreatown Youth and Community Center, Inc.		\$ 100,520.00
Women In Non Traditional Employment Roles		\$ 100,110.00
Coalition for Responsible Community Development		\$ 100,000.00
Youth Policy Institute Inc		\$ 100,000.00
Community Partners		\$ 99,051.00
Young Womens Christian Association of Greater Los Angeles California		\$ 96,400.00
JEWISH VOCATIONAL SERVICE		\$ 75,000.00
NATIONAL ASSN OF LATINO ELECTED OFFICIALS NALEO EDUCATION FUND		\$ 74,000.00
Performing Arts Center of Los Angeles County		\$ 66,000.00
The UCLA Foundation		\$ 60,000.00
University of Southern California		\$ 55,500.00
Hollywood Community Housing Corporation		\$ 52,000.00
Local Initiatives Support Corporation		\$ 51,996.00
Golden State Opportunity Foundation		\$ 50,000.00
Center Theatre Group of Los Angeles		\$ 50,000.00
Los Angeles County Museum of Art (LACMA)		\$ 47,050.00
California Community Economic Development Association		\$ 40,000.00
Mothers In Action		\$ 33,728.00
Abode Communities		\$ 28,400.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Los Angeles County Museum of Natural History Foundation		\$ 25,050.00
J. Paul Getty Trust		\$ 25,000.00
SIMON WIESENTHAL CENTER		\$ 24,485.00
INTERNATIONAL MEDICAL CORPS		\$ 23,415.00
Los Angeles Urban League		\$ 23,000.00
CITY YEAR INC		\$ 21,375.00
Team Rubicon		\$ 20,585.00
Debbie Allen Dance Inc		\$ 20,000.00
SOCIAL IMPACT FUND		\$ 19,400.00
Armand Hammer Museum of Art and Cultural Center Inc		\$ 19,250.00
SOUTHERN CALIFORNIA ASSOCIATION OF NON-PROFIT HOUSING INC		\$ 16,162.00
Shalom Center for T R E E of Life Therapy Research Education &		\$ 15,000.00
Southern California Center for Nonprofit Management		\$ 14,760.00
America SCORES LA		\$ 14,750.00
West Angeles Community Development Corporation		\$ 13,000.00
LAS PROMISE		\$ 12,500.00
Southern California Grantmakers		\$ 12,350.00
Los Angeles LGBT Center		\$ 11,366.05
Cedars-Sinai Medical Center		\$ 11,200.00
LOS ANGELES RIVER REVITALIZATION CORPORATION		\$ 9,500.00
World Trade Center Los Angeles		\$ 9,160.00
EmpowHer Institute Inc		\$ 8,000.00
Latino Theater Company		\$ 8,000.00
A Community of Friends		\$ 7,600.00
Los Angeles Conservancy		\$ 7,299.00
Asian Pacific Islander Small Business Program Incorporated		\$ 5,170.00
The Giving Back Fund		\$ 5,000.00
OUTFEST		\$ 5,000.00
Esperanza Community Housing Corporation		\$ 4,760.00
Asian Americans Advancing Justice Los Angeles		\$ 4,735.00
PATH Ventures		\$ 4,600.00
WEINGART CENTER ASSOCIATION		\$ 4,600.00
NATIONAL IMMIGRATION LAW CENTER		\$ 3,815.00
2020 Women on Boards, Inc.		\$ 3,320.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
CALIFORNIA COMMUNITY FOUNDATION		2,820.00
Mercy For Animals		2,806.00
CHILDRENS HOSPITAL OF LOS ANGELES		2,775.00
Mexican American Legal Defense and Educational Fund		2,665.00
Last Chance for Animals		2,515.00
YOUTH BUSINESS ALLIANCE INC		2,500.00
Pacific Asian Consortium in Employment		2,500.00
PUBLIC COUNSEL		2,350.00
Ronald Mcdonald House-California Orange		2,305.00
Mazon Inc A Jewish Response To Hunger		2,207.00
New Economics for Women		2,010.00
WOMEN IN INSTITUTIONAL INVESTMENTS NETWORK WIIIN		1,890.00
CHILDRENS INSTITUTE INC		1,850.00
Directors Guild of America Foundation		1,780.00
Starlight Children's Foundation		1,650.00
Union Rescue Mission		1,600.00
Jewish Big Brothers Big Sisters Association of Los Angeles		1,500.00
LOS ANGELES S P C A		1,336.00
Gay & Lesbian Alliance Against Defamation Inc (GLAAD)		1,285.00
The Point Foundation		1,225.00
Homeboy Industries		1,190.00
ST FRANCIS CENTER		1,050.00
SHELTER PARTNERSHIP INC		1,000.00
Dream Center Foundation A California Non Profit Corporation		930.00
JEWISH FAMILY SERVICE OF LOS ANGELES		900.00
DOWNTOWN WOMENS CENTER		895.00
AFTER-SCHOOL ALL-STARS		800.00
ST ANNES MATERNITY HOME		735.00
ACLU FOUNDATION OF SOUTHERN CALIF		705.00
Entertainment Industry Foundation		700.00
Los Angeles Opera Company		700.00
Jonsson Cancer Center Foundation- UCLA		700.00
REASON FOUNDATION		590.00
JAPANESE AMERICAN NATIONAL MUSEUM		550.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Israel Emergency Alliance		\$ 500.00
CATHOLIC CHARITIES OF LOS ANGELES		\$ 500.00
Jewish Free Loan Association		\$ 500.00
Skid Row Housing Trust		\$ 500.00
PUENTE Learning Center		\$ 500.00
STEP UP WOMENS NETWORK		\$ 475.00
MAKE-A-WISH FOUNDATION OF GREATER LOS ANGELES		\$ 470.00
People Assisting the Homeless		\$ 450.00
WUNDERGLO FOUNDATION		\$ 400.00
Greater Los Angeles Zoo Association		\$ 350.00
OPERATION USA		\$ 350.00
LOS ANGELES FREE CLINIC		\$ 300.00
Planned Parenthood Los Angeles		\$ 275.00
Fulfillment Fund		\$ 250.00
MENTAL HEALTH ADVOCACY SERVICES INC		\$ 250.00
Coalition to Abolish Slavery & Trafficking		\$ 200.00
The Living Through Giving Foundation		\$ 200.00
Advancement Project		\$ 200.00
LES AMIES INC		\$ 190.00
Salvadoran American Leadership and Educational Fund		\$ 170.00
AIDS PROJECT LOS ANGELES INC		\$ 145.00
AFRICAN AMERICAN UNITY CENTER INC		\$ 145.00
Orangutan Foundation International		\$ 115.00
THE HARMONY PROJECT		\$ 108.00
P S Arts		\$ 75.00
BEIT TSHUVAH		\$ 70.00
L.A. Kitchen		\$ 50.00
California Science Center Foundation		\$ 50.00
WESTERN CENTER ON LAW AND POVERTY		\$ 50.00
AUTRY NATIONAL CENTER OF THE AMERICAN WEST		\$ 50.00
Inner-City Arts		\$ 50.00
A Womens Nation		\$ 40.00
OUR HOUSE INC		\$ 30.00
Junior Achievement of Southern California		\$ 25.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Project Angel Food	\$	25.00
PARA LOS NINOS	\$	20.00
Total:	\$	5,286,214.05

"Having a diverse supplier network makes us a stronger company, one that can better serve our diverse clients and communities. Our commitment to expanding that network is long-term, and our Global Supplier Diversity Program works towards that goal every day."

Jamie Dimon
Chief Executive Officer
JPMorgan Chase & Co.

"Our Global Supplier Diversity Program is an important part of JPMorgan Chase's engagement with our clients and communities. Having a diverse supplier network makes us more competitive and able to fulfill our stakeholders' needs. The firm is committed to expanding diversity within sourcing overall."

Ken Litton
Chief Procurement Officer
JPMorgan Chase & Co.

"Diversity is a cornerstone of our global corporate culture—both in the workplace and in the marketplace. It is the breadth of our differences that defines us, makes us stronger, and enables us to better support diverse markets and the economic growth of all the communities we serve."

William Kapfer, PhD
Global Head of Supplier Diversity
JPMorgan Chase & Co.

Our Mission

The JPMorgan Chase Global Supplier Diversity Program is committed to developing and engaging with certified and qualified diverse businesses. Our mission is comprised of three pillars: 1) To achieve uplift in diversity within the JPMorgan Chase supply chain; 2) to create a supply chain that mirrors our customers' demographics; and 3) to drive economic growth in the communities in which we do business. Senior management's commitment, policies, procedures, strategies, and results demonstrate that supplier diversity is part of our corporate fabric. We are proactive in our outreach to diverse businesses, connecting them with internal and external decision-makers.

The firm's commitment to engaging diverse suppliers is evident in many aspects of our supply chain; from design, engineering, construction, furniture, maintaining our banking branches and offices, staffing to manufacturing credit cards, operating call centers, marketing out products and services, collecting outstanding debt, and advocating for the firm in legal matters, diverse suppliers drive significant value and make noteworthy contributions to our continued success.

Certification Requirements

JPMorgan Chase defines a "Diverse Supplier" as one certified by a designated third-party as being 51% owned, operated and controlled by members of the following, among others:

- Minority-Owned Business Enterprise (MBE)
- Women-Owned Business Enterprise (WBE)
- Veteran-Owned Business Enterprise (VBE)
- Service Disabled Veteran-Owned Business Enterprise (SDVBE / DVBE)
- LGBT-Owned Business Enterprise (LGBTE)
- Disability-Owned Business Enterprise (DOBE)
- Small Business Enterprise (SBE)

We invite diverse suppliers interested in doing business, or currently doing business with JPMorgan Chase to register via the *JPMorgan Chase Supplier Registration Portal* at www.jpmorganchase.com/SupplierDiversity.

External Partner Organizations

We partner with several organizations and their regional (or local) affiliates for support to execute on our supplier diversity objectives, including baseline certification standards. The following are examples of our largest community partners:

- National Minority Supplier Development Council
- Women's Business Enterprise National Council
- National LGBT Chamber of Commerce
- National Veteran-Owned Business Association
- National Veteran Business Development Council
- WEConnect International
- Disability:IN
- U.S. Hispanic Chamber of Commerce
- The Latino Coalition
- U.S. Pan Asian American Chamber of Commerce
- Minority Supplier Development United Kingdom

These organizations provide a platform for promoting our program, as well as networking directly with diverse business owners.

Supplier Diversity and Strategic Sourcing Process

Supplier Diversity processes are integrated into the strategic sourcing process. We strive to achieve the optimal combination of price, quality and service for purchased products and services through our Global Supplier Services group. Together, we help provide diverse suppliers with a broad range of project opportunities. We partner with sourcing managers and our business units to ensure active involvement in every step of the sourcing engagement. Qualified and certified diverse suppliers are identified from our Diverse Supplier Registration Portal and other external supplier databases to source diverse vendors that can meet our business needs.

Management Tracking & Reporting Systems

JPMorgan Chase's robust management, tracking and reporting systems support our supplier diversity initiatives.

JPMorgan Chase has the ability to track spend by the following diversity classifications, among others:

- Minority-Owned Business Enterprise (MBE)
- Women-Owned Business Enterprise (WBE)
- Veteran-Owned Business Enterprise (VBE)
- Service Disabled Veteran-Owned Business Enterprise (SDVBE / DVBE)
- LGBT-Owned Business Enterprise (LGBTE)
- Disability-Owned Business Enterprise (DOBE)
- Small Business Enterprise (SBE)

We provide external indirect reports on a quarterly basis.

Tier 2 Program

JPMorgan Chase consistently makes good faith efforts to provide contracting opportunities to diverse suppliers. In addition to purchasing directly from diverse suppliers, JPMorgan Chase also encourages its prime suppliers – both diverse and non-diverse – to include diverse businesses in their supply chains. We also assist our suppliers in expanding their supplier networks to include more diverse vendors. We track this Tier 2 spend by asking our suppliers to report their diverse supplier spend to us on a quarterly basis.

Accomplishments

In 2019, JPMorgan Chase celebrates the **25th anniversary** of the Global Supplier Diversity program. Over the past 12 years, JPMorgan Chase has spent over \$17 billion dollars with diverse suppliers.

Spend in 2018



Awards

In 2019...

- America's Top Corporations for Women's Business Enterprises – **Women's Business Enterprise National Council (WBENC) 2018**
- **National Business Inclusion Consortium (NBIC) – 2019 Best of the Best Corporation for Inclusion**
- JPMorgan Chase was named a 2019 Military-Friendly Corporation for Supplier Diversity by **Military Friendly**

In 2018...

- Global Head of Supplier Diversity was named one of the 2018 Top 30 Champions of Diversity by **Diversity Plus Magazine**
- JPMorgan Chase was given the 2018 Apex award by the **National LGBT Chamber of Commerce**
- Global Head of Supplier Diversity received the Champion of Enterprise award from the **National LGBT Chamber of Commerce**
- **MBN USA** named JPMorgan Chase to the *Best of the Decade* list
- JPMorgan Chase was recognized by **BEQ Pride** with the 2018 Business Equality Excellence Award
- 100% Rating in Corporate Equality Index" (for 16 years)- **Human Rights Campaign**

Additional Information

For additional information on our Supplier Diversity Program, visit our website www.jpmorganchase.com/supplierdiversity or contact us at supplier.diversity@jpmchase.com.